

01                   EXAMINATION UNDER OATH  
02                                   OF  
03                   MICHAEL R. WILLIAMS  
04  
05  
06    Taken pursuant to Notice by Autumn D.  
07    Furby-Pritt, a Court Reporter and  
08    Notary Public in and for the State of  
09    West Virginia, at Department of  
10    Environmental Protection, 1101 George  
11    Kostas Drive, Logan, West Virginia,  
12    on Monday, March 27, 2006, at 10:01  
13    a.m.

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01                   A P P E A R A N C E S

02

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3

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17 ALSO PRESENT:  
18 DANNY COOK  
19 MICHAEL FINNIE  
20 DENNIS A. BEITER  
21 RONALD W. STAHLHUT  
22 CHARLES W. POGUE  
23 C.A. PHILLIPS  
24 BETH SPENCE  
25 DERRICK TJERNLUND

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02

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01                    P R O C E E D I N G S

02    -----

03                    MR. STAHLHUT:

04                    My name is Ron

05                    Stahlhut. I represent the

06                    Mine Safety and Health

07                    Administration, which is an

08                    Agency of the United States

09                    Department of Labor. I am a

10                    member of MSHA's accident

11                    investigation team that is

12 charged with investigating the  
13 accident that occurred at  
14 Aracoma Coal Company,  
15 Incorporated, Aracoma Alma  
16 Number One Mine, on January  
17 19th, 2006.

18 This is a joint  
19 accident investigation that  
20 MSHA is conducting with the  
21 State of West Virginia. I  
22 will be asking the questions  
23 for MSHA in today's interview.  
24 With me here today are members  
25 ---other members of MSHA's

7

01 team and the State's team.  
02 MSHA's team includes various  
03 specialists and members of the  
04 Solicitor's Office. At this  
05 time, I would like to ask each  
06 member of MSHA's team to  
07 identify themselves for the  
08 record.

09 MR. BEITER:

10 My name's Dennis  
11 Beiter. I work for MSHA in  
12 Tech Support Group out of  
13 Triadelphia, West Virginia.

14 MR. WEBB:

15           Anthony Webb, MSHA  
16   District 6, Pikeville,  
17   Kentucky.

18           MR. POGUE:

19           Charlie Pogue, MSHA out  
20   of Hunker, Pennsylvania.

21           MR. FINNIE:

22           Mike Finnie, MSHA,  
23   Madisonville, Kentucky.

24           ATTORNEY BARISH:

25           My name is Dan Barish,

8

01   an attorney in the  
02   Solicitor's Office in  
03   Arlington, Virginia.

04           MR. TJERNLUND:

05           Derrick Tjernlund, MSHA  
06   Tech Support, Triadelphia.

07           MR. STAHLHUT:

08           And like I said, I'm  
09   Ron Stahlhut and I'm out of  
10   Vincennes, Indiana. And here  
11   today --- here with me today,  
12   representing the State of West  
13   Virginia is Danny Cook, and he  
14   will be asking the questions  
15   for the State. And at this  
16   time, I would like Danny to

17 introduce the members of the  
18 State's team. And he's got a  
19 statement for you as well, I  
20 think.

21 MR. COOK:

22 I suppose I'll read  
23 this statement first. The  
24 West Virginia Office of  
25 Miners' Health, Safety &

9

01 Training is conducting this  
02 interview session jointly with  
03 MSHA. And we are in agreement  
04 with the procedures outlined  
05 by Mr. Stahlhut. However, let  
06 me make it clear that the  
07 Director reserves the right,  
08 if necessary, to call or  
09 subpoena witnesses or require  
10 the production of any record,  
11 document, photograph or other  
12 relevant materials necessary  
13 to conduct this investigation.  
14 My name's Danny Cook and I'm  
15 an electrical inspector out of  
16 the Danville office.

17 MR. TUCKER:

18 How you doing today?

19 MR. WILLIAMS:



20           Just fine.  
21           MR. TUCKER:  
22           My name's Bill Tucker.  
23           I'm the Assistant Inspector at  
24           Large out of the Oak Hill  
25           office and that's in Region

10

01           Four.  
02           MR. PHILLIPS:  
03           Good morning. My  
04           name's C.A. Phillips. I'm the  
05           Deputy Director with the  
06           Office of Miners' Health,  
07           Safety & Training in  
08           Charleston, West Virginia

09           MS. SPENCE:  
10           I'm Beth Spence with  
11           the Governor's Office.

12           MR. STAHLHUT:  
13           This investigation is  
14           being conducted by MSHA and  
15           the State of West Virginia to  
16           gather information to  
17           determine the cause of the  
18           accident and to help prevent  
19           this from happening in the  
20           future. These interviews are  
21           an important part of the

22 investigation. After the  
23 investigation is completed,  
24 MSHA will issue a written  
25 report detailing the nature

11

01 and causes of the accident.  
02 MSHA accident reports are made  
03 available to the public in  
04 hope that greater awareness  
05 about the causes of the  
06 accidents can reduce their  
07 occurrence in the future.  
08 Information obtained through  
09 witness interviews is  
10 frequently included in these  
11 reports. Your statement may  
12 also be used in other  
13 enforcement proceedings.

14 I would like to thank  
15 you in advance for your  
16 appearance here. We  
17 appreciate your assistance in  
18 this investigation. We  
19 appreciate --- the willingness  
20 of miners and mine operators  
21 to work with us is critical to  
22 our success in making the  
23 nation's mines safer.

24 This interview with

25 Mike Williams is being

12

01 conducted under 103 ---  
02 Section 103(a) of the Federal  
03 Mine Safety & Health Act of  
04 1977 as part of an  
05 investigation by the Mine  
06 Safety & Health Administration  
07 into the conditions, events  
08 and circumstances surrounding  
09 the fatalities that occurred  
10 at the Aracoma Alma Mine  
11 Number One located at Route 17  
12 North, Bandmill Hollow Road,  
13 Stollings, West Virginia,  
14 25646.

15 This interview is being  
16 conducted at the Department of  
17 Environmental Protection in  
18 Logan, West Virginia, on March  
19 27th at 10:05 a.m.

20 Mr. Williams, the  
21 interview will begin by asking  
22 you a series of questions.  
23 Feel free at any time to  
24 clarify any statements that  
25 you make in response to the

13

01 questions. After you have  
02 finished --- after we have  
03 finished asking questions, you  
04 will have an opportunity to  
05 make a statement of your own  
06 and to provide us with any  
07 other information that you  
08 believe may be important.

09       You are permitted to  
10 have a representative with you  
11 during this interview, and you  
12 may consult with your  
13 representative at any time.

14 You may designate any person  
15 to be your representative.

16 Following the questions by  
17 MSHA and the State, this  
18 representative will be given  
19 the opportunity to ask  
20 questions for the purpose of  
21 clarification on areas already  
22 discussed.

23       Your statement is  
24 completely voluntary. You may  
25 refuse to answer any question

01 and you may end your interview  
02 at any time. If you do not  
03 understand a question, tell me

04 and I will rephrase the  
05 question. If you need a break  
06 for any reason, please let me  
07 know and we'll take a break.  
08 You may request the  
09 opportunity to make a  
10 confidential statement, which  
11 we will hold --- withhold from  
12 the public to the extent  
13 allowed by law. Should you  
14 desire to give a confidential  
15 statement, you should advise  
16 me before I begin your  
17 interview so that I can  
18 reschedule your interview in  
19 order to properly consider  
20 your request. Do you request  
21 a confidential ---?

22 MR. WILLIAMS:

23 No, I don't.

24 MR. STAHLHUT:

25 Okay. A court reporter

15

01 will record your interview and  
02 will later produce a written  
03 transcript of the interview.  
04 I ask that you state all of  
05 your answers verbally because

06 the court reporter cannot  
07 record your gestures like  
08 nodding of your head.

09 If any part of your  
10 statement is based on --- is  
11 based not on your own  
12 firsthand information but on  
13 information that you have  
14 learned from someone else, ---  
15 including any information that  
16 you learned from someone else,  
17 go ahead and state that. We  
18 may not ask the right  
19 questions to learn the  
20 information that you have, do  
21 not feel limited by the  
22 precise questions. In other  
23 words, you can expand further  
24 if you need to, to explain the  
25 question.

16

01 MR. STAHLHUT:

02 Okay.

03 MR. STAHLHUT:

04 If you have any  
05 information about the subject  
06 area of a question, please  
07 provide us with that  
08 information. Do you have any

09 questions on the manner in  
10 which the interview will be  
11 conducted?

12 MR. WILLIAMS:

13 No, I don't.

14 MR. STAHLHUT:

15 The court reporter,  
16 will you please swear Mr.  
17 Williams in?

18 -----

19 MICHAEL R. WILLIAMS, HAVING FIRST  
20 BEEN DULY SWORN, TESTIFIED AS  
21 FOLLOWS:

22 -----

23 BY MR. STAHLHUT:

24 Q. Please state your full name,  
25 address ---.

17

01 A. Michael ---

02 Q. Okay. Go ahead.

03 A. Michael Ray Williams.

04 Q. Your address?

[REDACTED]

09 Q. And could you spell your last  
10 name for the record?

11 A. W-I-L-L-I-A-M-S.

12 Q. Are you appearing voluntarily  
13 for this interview?

14 A. Yes, I am.

15 Q. Has anyone made any promises  
16 to you for giving this statement or  
17 offered you any rewards in exchange  
18 for making your statement?

19 A. No, they haven't.

20 Q. Has anyone threatened you or  
21 warned you not to provide this  
22 statement?

23 A. No, they haven't.

24 Q. Do you understand that you may  
25 refuse to answer any question or

18

01 terminate the interview at any time?

02 A. Yes, I do.

03 Q. Do you have a representative  
04 with you?

05 A. Yes, I do.

06 Q. All right. Would you please  
07 identify your representative?

08 A. Phil Carroll.

09 ATTORNEY CARROLL:

10 Phil Carroll,

11 C-A-R-R-O-L-L.

12 MR. STAHLHUT:

13 What's your position?



14 ATTORNEY CARROLL:  
15 I'm an attorney.  
16 MR. STAHLHUT:  
17 Attorney, okay. Okay.  
18 BY MR. STAHLHUT:  
19 Q. We'll proceed with the  
20 questions if you don't have any ---.  
21 A. Okay.  
22 Q. How long have you worked for  
23 Continental Conveyor & Equipment  
24 Company?  
25 A. Going on eight years.

19

01 Q. What is your job title?  
02 A. I'm a tech, service tech.  
03 Q. What are your responsibilities  
04 in this capacity? You know, give me  
05 an idea what do you normally do as a  
06 service tech?  
07 A. Millwright, align motors with  
08 reducers, align motors with pulleys.  
09 Q. Okay. What previous  
10 experience have you had? Have you  
11 worked for any other companies or  
12 have you had any other ---?  
13 A. I worked for Massey for ten  
14 years. I worked for other coal  
15 companies for ten. I have 20 years

16 underground experience outside of  
17 Continental.

18 Q. Okay. What areas of work did  
19 you perform in those other  
20 capacities?

21 A. Shuttle car operator, pin  
22 machine, scoop.

23 Q. Okay.

24 A. I move crew.

25 Q. Have you worked on the

20

01 conveyor belts and takeups at Aracoma  
02 Number One Mine?

03 A. Yes, I have. Not --- to  
04 clarify myself, not labor-wise.

05 Suggesting hose hookups. I've done  
06 alignments on a head --- on the  
07 conveyor belt, on the head.

08 Q. On the head?

09 A. On the head, yeah. Not the  
10 conveyor.

11 Q. Okay. What was the last day  
12 you were at the Aracoma Mine, do you  
13 remember?

14 A. January the 19th.

15 Q. You were at the mine on  
16 January 19th?

17 A. That's correct.

18 Q. And what were you doing on

19 that day?

20 A. I was on Ten headgate aligning  
21 a 750 horsepower DC motor with a  
22 reducer and a pulley.

23 Q. Okay. Have you worked on  
24 other belt drives and takeups at the  
25 Aracoma Mine?

21

01 A. Yes, I have.

02 Q. Okay. And which --- do you  
03 remember which specific ones?

04 A. No.

05 Q. Okay. I guess specifically,  
06 have you worked on the takeup and  
07 belt drive that was at the mother  
08 drive that was at the Nine headgate  
09 panel where the fire occurred? Have  
10 you worked on that one?

11 A. I aligned the motor, the 750  
12 horsepower DC motor.

13 Q. Okay. Are you familiar with  
14 any problems with the belt takeups at  
15 the Aracoma Mine?

16 A. Not a problem with it. Had  
17 some questions about it but it wasn't  
18 a problem. About their --- one of  
19 the storage units, one of the  
20 drop-off carriages would just coast

21 back a little bit and I give them a  
22 remedy to fix that.

23 Q. What was that remedy?

24 A. Take and extend the bolt ---  
25 would it be possible that I could

22

01 show you to give you a better  
02 illustration? It's something that a  
03 picture's worth a thousand words.

04 Q. Let's use this --- maybe this  
05 --- well, let's see that one.

06 A. It would.

07 Q. Will this one --- here ---

08 A. This will work.

09 Q. --- let's use ---. Will that  
10 one work?

11 A. Yes, it will.

12 Q. Okay.

13 MR. STAHLHUT:

14 This is --- going to be  
15 Exhibit A Williams for the  
16 record. And it's a partial  
17 copy of the drawing number  
18 UD15328 on sheet two of two.  
19 And it's from document number  
20 D394 from our records.  
21 (Williams Exhibit A  
22 marked for  
23 identification.)

24 A. I was asked what to do in the  
25 situation where a carriage would drop

23

01 back a little bit. Here on the lower  
02 part of the carriage, there's a piece  
03 of angle underneath the carriage to  
04 keep it from coming off. There's two  
05 bolts that holds this angle on.

06 Continental makes the two bolts small  
07 enough to allow the wheel to roll  
08 with the two bolts in there. The fix  
09 is to extend one of the bolts causing  
10 the bolt to go into the wheel to stop  
11 the carriage from moving. There's  
12 another --- there is a half-moon  
13 plate that you can pull a pin in the  
14 middle, out a half-moon plate in  
15 which has you --- it serves the same  
16 purpose. It begins to be skidders  
17 that prevents the carriage from  
18 moving.

19 The fix that I give them, if  
20 they extend the bolts, sleeving the  
21 angle under it, they extend a bolt  
22 into the wheel, it locks the wheels  
23 up. You do one for each side. Now  
24 it's brakes. If you ever get into a  
25 place to where you no longer need the

01 carriage, you know, you want it to be  
02 real easy to move. Real, real easy  
03 to move. Then you just back your  
04 bolt off instead of pulling the pin,  
05 jacking that up, changing it and  
06 putting a skidder in.

07 BY MR. STAHLHUT:

08 Q. Okay.

09 A. A real simple fix.

10 Q. Okay. Let me see if I follow  
11 you correctly. One of the ways is  
12 you put a skidder, you raise it up  
13 and actually put a block in the  
14 carriage wheel, the V-grooved wheel?

15 A. You take the V-grooved wheel  
16 completely out. You put in a ---  
17 it's like a half-moon V-grooved wheel  
18 that is fixed as a permanent skidder.

19 You no longer change it to being a  
20 wheel unless you take it out and put  
21 the wheel back in.

22 Q. Okay.

23 A. This allows you to have the  
24 wheel and the option of stopping it,  
25 just by extending the bolt.

01 Q. Okay. And these bolts, when  
02 they're extended in there or when

03 they're installed, are they installed  
04 on the --- to the inby ---

05 A. That's correct.

06 Q. --- to the center of the belt  
07 or to the center of the takeup?

08 ATTORNEY CARROLL:

09 Excuse me, Mike.

10 You're going to need to let  
11 him finish asking his question  
12 before you answer it; okay?

13 A. Okay.

14 ATTORNEY CARROLL:

15 It's hard on the court  
16 reporter if you all are  
17 talking over each other.

18 A. All right.

19 BY MR. STAHLHUT:

20 Q. Okay. My question here was,  
21 to clarify it, once you put this bolt  
22 in that we're referring to that was  
23 installed on the bracket where it  
24 extends in and contacts the V-grooved  
25 roller; right?

26

01 A. Correct.

02 Q. Does it contact the roller or  
03 does it contact the rail?

04 A. The roller.

05 Q. The roller. So can you  
06 indicate here with a marker where  
07 this --- if this is the takeup  
08 roller, where this --- where this  
09 bolt would be installed? Just circle  
10 it if you want to or point --- put an  
11 arrow to it.

12 A. Okay.

13 WITNESS COMPLIES

14 A. This is the bottom of the  
15 V-grooved wheel here, and just at the  
16 bottom of the V-grooved wheel is a  
17 bolt. There should be two of them  
18 that holds this angle plate on. I  
19 asked that they extend the lower bolt  
20 and make it 'til it goes into the  
21 wheel.

22 BY MR. STAHLHUT:

23 Q. Okay. Is this the actual  
24 drawing of the carriage roller or is  
25 this something we need to clarify?

27

01 Let me rephrase the question.

02 Let's just ---.

03 MR. STAHLHUT:

04 We're putting this into  
05 evidence here. And you ---  
06 there may be a difference of  
07 opinion where you may not have



08 the right plan. This would be  
09 Exhibit B Williams. And this  
10 is a full copy of the first  
11 document that was noted as  
12 Exhibit A.  
13 (Williams Exhibit B  
14 marked for  
15 identification.)  
16 BY MR. STAHLHUT:  
17 Q. Where we're referring to in  
18 Exhibit A is this cross section, this  
19 section BB. And section BB is  
20 indicated in the middle of this  
21 drawing right here. Is this --- that  
22 you were referring to earlier, is  
23 this the hold-down for the moveable  
24 --- portable dolly with the three big  
25 stationary rollers that moves or is

28

01 it for the drop-off roller?

02 A. Drop-off.

03 Q. It is for the drop-off roller?

04 A. Yes.

05 Q. Okay. Because it --- I guess  
06 my next question is, it shows the  
07 bolts below the level of the roller  
08 here. That's why I was confused.  
09 That's why I wanted to bring it ---.

10 A. Okay. No. It's --- I mean,  
11 the bolts that I'm aware of is the  
12 bolts that are above a carriage that  
13 goes into the wheel.

14 Q. Okay. That's why I was asking  
15 you ---

16 A. Yeah.

17 Q. --- because the arrows on this  
18 section are showing it toward this  
19 moveable takeup that the winch hooks  
20 to here and the drop-off rollers are  
21 indicated to the right of that.

22 A. The situation they had was  
23 with the drop-off carriages. I never  
24 knowed of any situation they had with  
25 this.

29

01 Q. Okay. Have you been to the  
02 specific location there at the Nine  
03 headgate?

04 A. Before --- after the fire?

05 Yes.

06 Q. Before the --- before the fire

07 ---

08 A. Yes.

09 Q. --- or before January 19th?

10 So you had --- you were at the actual  
11 installation?

12 A. Yes.

13 Q. Okay. Why would these ---  
14 well, okay. Why would these --- why  
15 would these remedies be needed on  
16 these drop-off rollers? Why would  
17 that bolt need to be extended?  
18 A. Sometimes the installation,  
19 the way it's installed, they may ---  
20 from this point here to this point  
21 where the carriage goes back from the  
22 front to the rear, the elevation, it  
23 may be such --- just small enough to  
24 cause the carriage, because it's  
25 gravity, it's like setting your car

30

01 on a small incline in neutral, you  
02 know, just --- it will drop off. And  
03 it's real easy, it will coast back a  
04 little bit. And that would be the  
05 reason that I would recommend them to  
06 put the bolts --- to extend the bolts  
07 on the wheels.

08 Q. You stated earlier that you  
09 were at the Ninth East headgate, the  
10 mother drive, where the fire occurred  
11 in the takeup here. Is this --- is  
12 this takeup installed on a hill or a  
13 grade?

14 A. I don't remember the exact

15 angle or grade or anything of that  
16 sort. I just --- when I was asked, I  
17 told them what kind of fix.

18 Q. Okay. Would there be a  
19 maximum grade or slope that  
20 Continental would recommend that this  
21 takeup be installed on?

22 A. If there is, I'm not aware of  
23 any at all.

24 Q. Okay. Do you know if this  
25 print that's Exhibit B that's the

31

01 complete diagram for the --- for the  
02 carriage --- or the takeup assembly?  
03 Does this --- does this accurately  
04 depict the underground setup there at  
05 Aracoma Mine for the Ninth East  
06 headgate to the best of your  
07 knowledge?

08 A. It looks like it, but I  
09 couldn't say definitely.

10 Q. Okay. Referring back to the  
11 bolts that you installed in the  
12 wheels on the drop-off rollers, do  
13 those act as a brake by actually the  
14 bolt physically pushing against the  
15 wheel?

16 A. Yes. You have to remove the  
17 existing bolts because they're not

18 long enough to go into the wheel.  
19 That was the purpose of having them  
20 short. And then they'll recommend  
21 that you purchase longer ones that  
22 intentionally go in and work as a  
23 brake to stop it from moving.  
24 Q. Okay. How do you go about  
25 adjusting this braking effect?

32

01 A. It's fixed. You take the bolt  
02 out. You put another bolt in until  
03 it's pushed all the way into the  
04 wheel and you have a lockup nut on  
05 the inby side, and you lock the bolt  
06 down.

07 Q. Okay. If --- what effect  
08 would it have if, say, you didn't get  
09 it locked down tight enough or you  
10 had it misadjusted? What, in your  
11 opinion, would the effect of that be?

12 A. If you didn't lock it down, it  
13 would probably roll.

14 Q. Okay.

15 A. If it rolled before and you  
16 didn't lock it down, it would  
17 probably roll. It depends on how  
18 much it rolled before, I guess.

19 Q. All right.

20 A. Yeah.

21 Q. So the bolt actually --- in  
22 essence, does it stop the wheel from  
23 moving?

24 A. That's correct.

25 Q. Okay. And then does the wheel

33

01 slide?

02 A. That's correct.

03 Q. Okay. When did you become  
04 aware of these --- this problem with  
05 the --- when you made the  
06 recommendation to add these bolts?

07 Do you have any idea?

08 A. I never actually was aware of  
09 a problem until one of the men who  
10 actually installs it, Hagy, the best  
11 I recollect, Donald Hagy, had asked  
12 me about the fix, what they should  
13 do, and that was my recommendation.

14 Q. Okay. Do you have any idea of  
15 a time frame? Or was it on the Ninth  
16 East headgate or was it on --- was it  
17 on this particular installation where  
18 the fire occurred? Was it on some  
19 other installation? Or do you --- do  
20 you know?

21 A. I don't know. I don't know.

22 I don't know.

23 Q. Would this locking bolt with  
24 this wheel lock, would it cause the  
25 wheel to a flat spot or cause a flat

34

01 spot or a problem with the wheel  
02 overtime if you used this locking  
03 bolt?

04 A. It's steel against steel.  
05 Over a period of probably five years,  
06 you would probably have a flat spot  
07 there, estimate.

08 Q. Yeah. Do you have any idea  
09 --- or what would you say would be  
10 the effect of this flat spot? Would  
11 it have any effect on anything in  
12 your opinion?

13 A. As long as the wheel is locked  
14 up, it's still going to present drag.

15 Q. When they rob --- commonly  
16 refer to robbing belt out of the  
17 storage unit, when they take belt out  
18 of the storage unit and they collapse  
19 the storage unit back up, would you  
20 have to do anything with this bolt  
21 prior to taking it out?

22 A. No. Do you mean prior to  
23 removing the belt? Is this  
24 clarifying ---

25 Q. Right.

35

01 A. --- what you're asking?

02 Q. Yes.

03 A. Prior to removing the belt  
04 from the storage unit, would you have  
05 to do anything to the bolt that you  
06 put in to prevent the drop-off  
07 carriage from moving?

08 Q. Right.

09 A. No.

10 Q. So they stay locked ---

11 A. That's correct.

12 Q. --- from the time you put them  
13 in there --- from then on?

14 A. The fix is the same as if you  
15 remove the wheel and put the  
16 half-moon device in there. It's not  
17 to be changed until you decide you  
18 want to change it.

19 Q. So it remains as basically a  
20 sliding wheel ---

21 A. That's correct.

22 Q. --- from the time it was  
23 installed?

24 ATTORNEY CARROLL:

25 Mike, you're going to

36

01 need to let him finish his



02 question before you start

03 answering. Okay?

04 BY MR. STAHLHUT:

05 Q. Backing up, I guess, to some

06 of my original questions and we'll

07 get back to this. You had stated

08 that you did work for Massey at some

09 point in time. Did you ever work for

10 Massey as a contractor?

11 A. No.

12 Q. Okay. And did you work for

13 Massey at the Aracoma Mine?

14 A. No.

15 Q. Okay. When was the last time

16 you were at the Nine headgate, the

17 mother drive, where the fire

18 occurred? Do you recall when you

19 were at that particular takeup last?

20 A. I was under the belt on the

21 19th only passing through, but to

22 actually be at the takeup, I have no

23 recollection on the time.

24 Q. Okay. Is there a proper width

25 or a recommended width --- a proper

37

01 width for the belt that's used in the

02 takeup unit for the storage unit for

03 the belt installation here at the

04 Ninth headgate that you're aware of?

05 A. I'm not familiar with it.

06 Q. Okay. I guess to further  
07 explain that question, if you see ---  
08 if the belt's got frayed edges and  
09 it's been rubbing against the frame  
10 in that takeup, would --- could that  
11 be a result of a belt being too wide  
12 for the installation?

13 A. It's possible.

14 Q. Does Continental sell conveyor  
15 belt?

16 A. No.

17 Q. Does anyone else from  
18 Continental work on these conveyor  
19 belts or --- at Aracoma Mine, to your  
20 knowledge?

21 A. To clarify yourself, in what  
22 aspect?

23 Q. Okay. I guess the first  
24 question, in any aspect? In other  
25 words, does anyone else work on the

38

01 mine --- the belts at Aracoma Mine,  
02 the belt installations, other than  
03 you for Continental?

04 A. My boss on startup will come  
05 in from time to time if they're  
06 asked. If they ask him on a

07 situation that they may have. That's  
08 about it.

09 Q. Okay. Who is your boss?

10 A. David Nance.

11 Q. Okay. And when he's asked to  
12 come in, do you have any idea what  
13 --- what is he requested to do or  
14 what does he normally do when he's  
15 come in on startup?

16 A. Lots of times they have units  
17 that's been sitting dormant for a  
18 period of time and they may have a  
19 input card or a processor that's not  
20 functioning right. And he'll come in  
21 and they might change a processor out  
22 or an input card, something of that  
23 effect.

24 Q. All right. Do you work on any  
25 electrical problems on the belt or

39

01 are you --- do you do any of that  
02 type of work?

03 A. I'm not an electrician. I can  
04 talk to the guys and give them the  
05 best of my knowledge, but I don't do  
06 electrical work.

07 Q. Have you been trained on  
08 Continental's electrical

09 installations?

10 A. No.

11 Q. I mean, their setups? No?

12 A. No.

13 Q. So your boss will probably be  
14 the one then that might do that if  
15 requested or ---?

16 A. He would --- you know, I'm not  
17 for sure about his electrical, you  
18 know, documents or anything of that  
19 sort. But you know, he would  
20 recommend we change this piece, this,  
21 as a process of elimination due to  
22 the part being sitting dormant for so  
23 long.

24 Q. Okay. For this setup, was  
25 there a maximum for this setup at the

40

01 Ninth East headgate where the fire  
02 occurred on the longwall mother drive  
03 belt? This belt takeup area, was  
04 there a maximum belt width for this  
05 installation that you're aware of?

06 A. No, I'm not aware of it. If I  
07 can possibly elaborate to  
08 commonsense, you don't put a 20-inch  
09 tire on a 17-inch rim.

10 Q. Right.

11 A. But other than that, I'm not

12 aware of any certain width.

13 Q. Do you have any --- was there

14 a maximum width that you're ---?

15 A. Not aware of.

16 Q. Okay. I didn't know if there

17 was a tolerance or anything like that

18 of a certain width of belt they could

19 put in there. On the movable

20 carriage, this installation right

21 here, are there hold-downs to the

22 inby end of this carriage, to your

23 knowledge?

24 A. I'm not familiar with it.

25 Q. You're not familiar with it?

41

01 A. No.

02 Q. Okay.

03 MR. STAHLHUT:

04 This exhibit here will

05 be, what, C or D?

06 MR. COOK:

07 C.

08 MR. STAHLHUT:

09 C.

10 (Williams Exhibit C

11 marked for

12 identification.)

13 BY MR. STAHLHUT:

14 Q. This is a picture of this  
15 takeup unit that I was asking you a  
16 question about and I'm just going to  
17 further ask it and see if you seen  
18 one of these before.

19 MR. STAHLHUT:

20 This Exhibit C is  
21 Aracoma MMR059.JPG, a picture  
22 from our files. And it will  
23 be Exhibit C Williams.

24 BY MR. STAHLHUT:

25 Q. The hold-down I was talking

42

01 to, the picture here shows the  
02 hold-down that would be the hold-down  
03 for this roller on this moveable  
04 takeup that's pictured on the drawing  
05 as Exhibit B here --- on Exhibit B  
06 Williams. And this is a picture  
07 where it shows this hold-down and the  
08 bolt hole and the partial bolt to the  
09 left here. And as you stated before,  
10 does this bring back any memory? You  
11 said you were not familiar with it,  
12 but I would further ask the question  
13 with a picture. Sometimes ---.

14 A. I'm really not familiar with  
15 it. I don't know.

16 Q. I guess to --- if the ---

17 further to ask the question, ---

18 A. Okay.

19 Q. --- or further ask anyway, if

20 --- the hold-downs on this takeup,

21 the moveable dolly for the takeup

22 unit that's the portion hooked to the

23 winch that's on Exhibit B here, if

24 these hold-downs were gone on the

25 inby end and this was on a grade,

43

01 could this cause this carriage to

02 rear up and cause it to cock or

03 misalign or cause any kind of

04 problems in your opinion? Or are you

05 familiar with it?

06 A. If I was to speculate, it

07 would be outside of that which I

08 really know. So I really don't know.

09 Q. Okay. Could you speculate or

10 would you be willing to, to what

11 effect, in your experience it would

12 have?

13 A. To try to think it all out

14 with the weight that this is in

15 comparison to this, it's more than

16 likely that it wouldn't derail, but

17 anything's possible.

18 Q. Right. I guess to further

19     complicate it, if this was on a grade  
20     --- if the takeup was on a grade and  
21     you've got the winch pulling from  
22     this end and it's tied --- if the  
23     hold-downs on this end --- or  
24     specifically, you know, if the  
25     hold-downs were not on this end,

44

01     could it have an effect on the belt  
02     drive in your opinion?

03     A.   Yes.

04     Q.   Who would --- if there was a  
05     problem like this or something, who  
06     would normally within your company  
07     --- if the company requested it, the  
08     mining company that owns the takeup,  
09     who would normally service the  
10     installations like this for  
11     Continental if they were asked? Or  
12     would you do that kind of work? Or  
13     would there be someone else in your  
14     company that would do that kind of  
15     work?

16     A.   It would be the mine personnel  
17     themselves, such as Don Hagy or one  
18     that was working on it. We would  
19     recommend you do this or you do that.  
20     And there's no --- we have no idea  
21     whether they do what we ask them to.



22 Q. Right. Who would recommend  
23 that? Who would it be within your  
24 company that would make those  
25 recommendations?

45

01 A. They would probably call me or  
02 call Dave and we would suggest.

03 Q. Okay. Go ahead.

04 A. Go ahead, Ron.

05 Q. Have you had any requests in  
06 that nature?

07 A. On this particular unit? I  
08 don't recall having any. On the  
09 units on the drop-off carriages,  
10 don't recall if it was on that unit  
11 or not. But I do remember talking to  
12 Hagy about extending the bolts.

13 Q. Okay. And that was the only  
14 thing you remember in regard to the  
15 takeups that you've made a  
16 recommendation to the company about?

17 A. Correct.

18 Q. Do you remember when?

19 A. No.

20 Q. Was it before Christmas or do  
21 you recall?

22 A. I really don't --- don't  
23 recall. I really don't. I don't

24 even know how long the unit was in  
25 service. I don't recall.

46

01 Q. Okay. Has anyone, in general,  
02 have they asked you questions or  
03 complained about alignment or  
04 alignment issues with the belt or the  
05 belts rubbing structure, frame,  
06 takeup? Have you had any kind of  
07 alignment questions from the mine on  
08 it?

09 A. I don't recall. Ron, lots of  
10 times if they have an issue with an  
11 alignment, they'll align it  
12 themselves by turning the rollers.

13 Q. Right.

14 A. So they don't need to call me  
15 and say we've got this problem, we've  
16 got one that is alignment. The  
17 reason that they ask about a drop-off  
18 carriage or a situation where they  
19 needed some fix, they didn't know  
20 what to do. That was a fix.

21 Q. Okay. We talked about --- and  
22 you mentioned that with these  
23 hold-downs missing that the unit  
24 might --- couldn't --- could derail  
25 or misalign. Would it --- could it

47

01 cause a misalignment specifically,

02 I'm asking I guess?

03 A. If that part, this part here,  
04 if it's connected to this and this  
05 part's got a belt going around it  
06 here, and if you cock this, you'll  
07 run the belt line off.

08 Q. Okay.

09 MR. STAHLHUT:

10 And Mr. Williams is  
11 referring to the Exhibit B  
12 where the movable portion of  
13 the dolly on the print is ---  
14 this is Exhibit B, is off in  
15 alignment from the stationary  
16 portion of the takeup here.

17 A. That's correct.

18 MR. STAHLHUT:

19 And that it could cause  
20 misalignment on the belt, in  
21 fact, to try to clarify the  
22 record there.

23 BY MR. STAHLHUT:

24 Q. Go ahead.

25 A. Ron, it's as simple as having

48

01 a tie rod in on a car, if you lose  
02 one side, commonsense tells you, the

03 car is going to go wherever it wants  
04 to go.

05 Q. Okay. Have you ever  
06 experienced any of these movable  
07 dollies anywhere in your work with  
08 Continental that has --- with the  
09 hold-downs missing that's caused a  
10 misalignment problem at a mine  
11 anywhere?

12 A. Don't recall.

13 Q. Does your company provide a  
14 manual with general installation  
15 procedures for the coal company to  
16 install the belt drives?

17 A. I don't recall. The best of  
18 my knowledge would be a chart like  
19 this.

20 Q. A chart like that?

21 A. Yeah. Just a print.

22 Q. I think we've asked this  
23 question, but I'm going to re-ask it  
24 again. But what is --- is there a  
25 maximum belt width that's recommended

49

01 for this particular storage unit  
02 that's on Exhibit B here that was at  
03 the Ninth East mains mother drive?  
04 Like is it a maximum of a five-foot  
05 belt width, six-foot belt width or

06 seven-foot belt width?

07 A. If there is, I'm not aware of  
08 it.

09 Q. Okay.

10 A. To clarify this, sometimes  
11 they'll put a smaller belt on the  
12 larger structure using it for  
13 temporary. So I can't say. You  
14 know, we don't own the belt, we don't  
15 say do or don't do.

16 Q. Does a smaller belt --- can it  
17 cause alignments when it's designed  
18 for a wider belt? Can it cause a  
19 problem?

20 A. I don't know.

21 Q. Okay. When you went through  
22 this area on the 19th, did you notice  
23 anything unusual, any smell? Did you  
24 hear anything? Did you see anything  
25 on that particular day when you went

50

01 through there to go to the other area  
02 of the mine that you were going to?

03 A. The day that I went under the  
04 belt, I did not. I was in a  
05 personnel carrier, manbus, diesel,  
06 with a canopy over me, and I never  
07 even got out and opened the doors at

08 the airlock. We had other men who  
09 opened them, allowed us to go  
10 through. So the answer is no.

11 Q. And you didn't see any kind of  
12 haze or anything in the air if you  
13 looked out of the mantrip or  
14 anything?

15 A. No.

16 Q. No? Okay. Is --- this unit  
17 that was in place at the mother  
18 drive, was it --- was it recommended  
19 for use with a six-foot wide belt?

20 A. I don't know.

21 Q. Who would know?

22 A. Dave Nance would know.

23 Q. Okay. Did the company ---  
24 when you made the recommendation for  
25 the drop-off carriage rollers on the

51

01 longwall belt, did the company use  
02 this recommendation? Did they  
03 install these bolts?

04 A. I don't know.

05 Q. Okay. So I guess my next  
06 question, did you get any feedback?

07 A. No.

08 Q. You don't know because you  
09 ---?

10 A. Yeah, I didn't get no

11 feedback.

12 Q. Okay. I guess we've observed  
13 some fraying along the edges of the  
14 belt. What would cause the fraying  
15 along the edges of a belt?

16 A. Even outby the storage unit,  
17 somewhere between the tailpiece and  
18 the drive, the whole belt itself,  
19 could possibly be running off at an  
20 angle, getting into a dropped  
21 bracket. That would cause fraying  
22 (sic) along the sides of the belt.

23 Q. If you saw this occurring on a  
24 belt, would this be a reason that ---  
25 would it be a situation that would be

52

01 something you would recommend they  
02 remove it from service or correct the  
03 condition?

04 A. Correct the condition.

05 Q. Have you ---in your  
06 experience, when you've seen these  
07 conditions where the belt's rubbing  
08 and stuff and caused this fraying or  
09 caused rubbing and friction, does  
10 that pose a particular hazard that  
11 you recall?

12 A. I don't recall.

13 Q. Okay.  
14 A. It's recommended, you know,  
15 that they would change and fix the  
16 problem. The reason being if the  
17 belt runs into a return roller drop  
18 bracket, over a period of time,  
19 depending on the severity of it  
20 running into it, it will cut away the  
21 drop bracket and cause the return  
22 roller to drop. So it's natural they  
23 would want to fix the problem. So  
24 what we tell them --- you know, you  
25 need to line your belt up or it's ---

53

01 you run into a drop bracket and they  
02 would correct the problem.

03 Q. What about using a belt ---  
04 say this installation was installed  
05 and they installed a belt that had  
06 frayed edges on a new installation,  
07 would that have an effect on the  
08 installation?

09 A. No. Re-used belts is  
10 oftentimes used.

11 Q. How long would it --- you  
12 mentioned that if the belt's  
13 misaligned ---. How long would it  
14 take to cut through a bottom bracket,  
15 in your estimation? Do you have any



16 idea?

17 A. Just estimating? Three weeks,  
18 four, estimate, depending on the  
19 severity. If it's just touching  
20 every now and then, it may never  
21 though the whole --- it may rub the  
22 paint off.

23 Q. Okay. If it was badly  
24 misaligned where it was rubbing it  
25 continually, you know, where that

54

01 friction was there constantly.

02 A. It would --- three or four  
03 weeks, it would probably rub it.

04 Q. Would that produce any smoke  
05 or anything from the belt, to your  
06 knowledge?

07 A. Not to my knowledge that it  
08 would. Not to my knowledge, it would  
09 produce any smoke. It's going to  
10 wear your paint off your return roll  
11 bracket. And because the belt's  
12 moving, you got fresh belt on steel,  
13 you're subject to remove the steel,  
14 not the belt.

15 Q. In your experience, would  
16 there be a smell or something that  
17 you would detect this friction?

18 Would there be something that you  
19 would ---?  
20 A. Not to my knowledge.  
21 Q. Okay. Would you recommend  
22 vulcanizing the edge of the belts  
23 that have been frayed if it was  
24 severely frayed along the edge of the  
25 belt on the installation?

55

01 A. You would have to clarify it.  
02 I don't understand it.  
03 Q. Okay. Let me rephrase it.  
04 Okay. Number one, if a company had a  
05 belt that was frayed along the edge,  
06 to your knowledge, are they ever  
07 vulcanized or are the edges ever  
08 repaired?  
09 A. Not to my knowledge. And  
10 unless they would cut the belt down  
11 and use it on another application ---  
12 completely cut it down, remove the  
13 belt, cut the sides off and use it on  
14 another application.  
15 Q. If you cut the belt, the sides  
16 off, and made it, say, from a  
17 six-foot to a 48-inch belt, would  
18 they reseal or re-vulcanize the edges  
19 of that belt ---  
20 A. No.

21 Q. --- to your knowledge?

22 A. Not to my knowledge.

23 Q. Is --- this installation that  
24 was at the Ninth East mains head  
25 drive area, the takeup, is this the

56

01 model and unit size that would be  
02 normally used for a six-foot belt?

03 A. I don't know. But I would  
04 only be speculating to tell you that  
05 it's a longwall. They're going to go  
06 with the widest belt possible and  
07 from five to six foot. But I don't  
08 know if that was it or not, even the  
09 width of that belt.

10 Q. Well, do you know if this was  
11 designed for use for the six-foot  
12 belt?

13 A. I don't know.

14 Q. Going back to these carriage  
15 rollers or drop-off rollers, what  
16 would be the result in the  
17 installation at the mother drive  
18 area, the specific circumstances  
19 there where it's on somewhat of a  
20 grade and everything and that they  
21 reported a roll back problem --- what  
22 would be the effect on the belt

23 alignment if they didn't use the  
24 recommendations you presented with  
25 the longer bolts to lock the rollers

57

01 in place? What effect would that  
02 have on the belt?

03 A. The carriages, the drop-off  
04 brackets are designed to drop off at  
05 particular points due to these. They  
06 unlatch them, cause them to drop off  
07 at different areas. The purpose of  
08 that is to keep your belt away from  
09 each other. If you've got three of  
10 them that comes in one area, then  
11 your belt has a tendency to come  
12 together, because you've got nothing  
13 to hold the belt away from each  
14 other. Not that it would be an  
15 issue. But it's better if they've  
16 got them dropping off to where they  
17 should be dropping off at. That's  
18 the purpose of using the bolts to  
19 stop it, if they're not dropping off  
20 where they should be, because they're  
21 not staying there. They should be  
22 dropping off but they're drifting  
23 away from where they should be.

24 Q. When this spacing --- say, it  
25 does extend or spread farther apart

01 between the rollers than the  
02 recommended distance or the rollers  
03 drift off and the belts are rubbing  
04 together and --- what kind of hazard  
05 would that create?

06 A. I don't foresee any of a  
07 hazard that it would cause from the  
08 two belts coming together because  
09 there's no weight on these belts.  
10 They're just --- you've got a belt  
11 rubbing together, but the further  
12 that you're away from a point of  
13 alignment, the more difficult it  
14 would be to keep it in line. If I've  
15 got a roller that I'm depending on to  
16 line the belt and it's 40 foot back,  
17 it's going to be harder to line this  
18 roller than if I had everything  
19 dropping off like it's supposed to.

20 Q. Okay. So let me clarify or  
21 see if I understand ---

22 A. Okay.

23 Q. --- what you said. Instead of  
24 the belt's rubbing together being a  
25 hazard, it would be more of a hazard

01 that it would cause a misalignment if

02 this distance between the drop-off  
03 rollers is increased; is that  
04 correct?

05 A. To the best of my opinion, I  
06 would think that'd be more --- that  
07 would be better explained that way.  
08 If I've got four drop-off carriages  
09 and I'm trying to line a belt going  
10 into a fixed point, and I've got them  
11 all piled up here, then I've got this  
12 distance, 40, 50, don't know what the  
13 distance may be, then it would be  
14 harder because you're relying on this  
15 one drop-off to determine where this  
16 belt's going.

17 Q. Okay. Why would the drop-off  
18 rollers drift? What would cause them  
19 to drift?

20 A. It could be a small decline.  
21 Because there's an assumption that  
22 they drifted or they asked for a fix,  
23 it tells me that it was --- this was  
24 elevated in comparison to the fixed,  
25 so when the drop-off carriages were

60

01 to drop off here, so on, it would  
02 drift back. And it doesn't have to  
03 be a severe. You know, there's ---  
04 it doesn't have to be severe. Like I

05 say, again, a car in neutral on a  
06 small decline will drift if there's  
07 not much friction.

08 Q. Okay. Let me --- let me see  
09 if I understand ---

10 A. Okay.

11 Q. --- what you said here and let  
12 me see the way I understand it, see  
13 if I'm correct. You're saying that  
14 if this portion, the moveable  
15 carriage portion of the takeup  
16 assembly, was at a higher elevation  
17 than these rollers --- is this what  
18 you're saying? Did I follow you  
19 right, that this could cause a  
20 problem?

21 A. It would cause your drop-off  
22 carriages to drift towards the  
23 elevation.

24 Q. Okay.

25 A. Now, providing it was set up

61

01 like you're showing me here with  
02 these drop-offs at the angle which  
03 each one of those drop-offs are, it  
04 tells me that's where it's supposed  
05 to trip at, it will trip here, it  
06 will trip here and it would trip ---

07 the last one should trip here. If  
08 you're telling me that it comes back  
09 --- if it drifted away, then they're  
10 going to pile up here. If the  
11 elevation is down, they're not going  
12 to go backwards, so it wouldn't be an  
13 issue of it going back this way.

14 Q. So the horizontal rail that  
15 everything runs on, if it's on an  
16 angle, then this could cause these  
17 drop-off rollers to drift one way or  
18 another depending on the ---?

19 A. That's correct.

20 Q. Okay. If you've got a  
21 misalignment out here on this  
22 portable takeup dolly, the moveable  
23 dolly, and if it's misaligned or if  
24 these hold-downs, like we discussed  
25 earlier, were missing and caused it

62

01 to cock up and to cock sideways or  
02 something, would this cause the winch  
03 to knock? To cause it to produce an  
04 overcurrent situation where it would  
05 cause this winch to knock, the winch  
06 breaker to knock on the control unit?

07 A. I don't know.

08 Q. Okay. Do you have any idea  
09 who would know?



10 A. Probably an electrician at the  
11 mines.

12 Q. Okay. If you've got one of  
13 these drop-off rollers and does this  
14 --- first of all, let me back up.

15 A. Okay.

16 Q. Let me rephrase the question  
17 here. On this Exhibit B here, you're  
18 showing eight drop-off rollers on  
19 this print that is Exhibit B  
20 Williams. Is that the normal  
21 installation and do you know if that  
22 was the normal --- if that was the  
23 number of drop-off rollers that this  
24 mine used?

25 A. Don't know.

63

01 Q. Okay. My next question is if  
02 one of these drop-off rollers --- and  
03 we know they used a number of  
04 drop-off rollers in this  
05 installation. If one of these  
06 drop-off and one latch fails to hook  
07 and causes it to cock, will that  
08 cause a problem?

09 A. I don't know. It would  
10 probably depend on the severity of  
11 its latch. If it didn't latch, if it

12 --- if it didn't unlatch and they had  
13 bolts preventing it from rolling  
14 backwards, it will stop where it ---  
15 I'm trying my best to explain --- if  
16 it didn't move, it wouldn't be out of  
17 line. Am I wrong to clarify the  
18 question again? You're saying if one  
19 did unlatch, the other did not  
20 unlatch?  
21 Q. Right.  
22 A. Being drug on up towards, it's  
23 going to cock. If one unlatches and  
24 the other doesn't unlatch, it will  
25 cock.

64

01 Q. Right.  
02 A. Now, what angle? No idea.  
03 More than likely, if it didn't  
04 unlatch, it's going to be drug up  
05 with the unit until the next  
06 unlatching, be dragged by one side,  
07 but I don't know what angle or what  
08 the severity of it would be.  
09 Q. To clarify it here on the ---  
10 we're referring to these trip latch  
11 lever posts, I think, ---  
12 A. That's correct.  
13 Q. --- which would cause them to  
14 latch or unlatch. And there is one

15 on each side directly across from the  
16 other on each rail, each one is on a  
17 rail; is that correct?

18 A. That's correct.

19 Q. Okay. And so if one of these  
20 was missing, would that be one reason  
21 that one of the latches --- that it  
22 would not unlatch?

23 A. That's correct.

24 Q. I guess backing up to the  
25 bolts that you recommended to stop

65

01 the drop-off dollies from drifting,  
02 would that be a recommended remedy  
03 for anywhere that the rails were on a  
04 grade or a steeper grade that would  
05 cause them to drift?

06 A. Yes.

07 Q. So it would be more or less  
08 for all elevation differences if  
09 there was an elevation difference  
10 that ---?

11 A. If you had a situation where  
12 one of the drop-off brackets at any  
13 elevation was moving, I would  
14 recommend you put the bolts in.

15 Q. Is it possible that that fix  
16 may not be sufficient to correct the

17 problem if there was enough of a  
18 grade or something there?

19 A. Very doubtfully.

20 Q. In the installation up there  
21 in --- at the mother drive area, the  
22 structure had some angle braces over  
23 to the rib that were --- that went  
24 over to the rib that were from the  
25 rib to these horizontal rails on the

66

01 left-hand side. What would be the  
02 purpose of those horizontal braces  
03 over to the rib?

04 A. Horizontal braces.

05 Q. If you're looking down on it  
06 here in the top portion of this thing  
07 and these are your horizontal rails  
08 and on this left-hand side, they had  
09 some angle braces over to the rib,  
10 what would be the purpose of those  
11 angle braces to the solid coal rib  
12 there?

13 A. To put guarding on.

14 Q. Okay. It probably --- the  
15 intention of them, I don't think ---  
16 in my opinion wasn't guarding. But  
17 was it --- would you put angle braces  
18 to these rails to keep them from  
19 shifting from off center or bowing or

20 coming out of alignment?

21 A. I have seen them in the past.

22 Different mines do such. That's not

23 a recommended --- or a procedure that

24 is correct. That's --- that's not a

25 --- not the correct way to do it if

67

01 they had them like that.

02 Q. Right. So how --- what would

03 be the correct way to do it then?

04 A. You put anchors, fly anchors,

05 down in the ground, fly pins.

06 Q. Okay. And would that be

07 indicated --- would this be a

08 location here ---

09 A. That would be a good location.

10 Q. --- where you would anchor

11 them to the floor?

12 A. That's right.

13 Q. Would that be a ---

14 A. Yes.

15 Q. --- location there? Would you

16 circle that and just put possible

17 anchorage to floor --- or correct

18 anchorage to the floor, something

19 like that?

20 A. It's important you go both

21 directions.

22 Q. Okay. I'm going to write

23 under ---

24 A. Okay.

25 Q. --- correct anchorage if

68

01 that's okay with you?

02 A. All right.

03 Q. Does that depict what you've

04 ---

05 A. Yes.

06 Q. --- indicated here? That was

07 a mistake trying to write that upside

08 down. Did you observe the anchorage

09 of the unit up there at the Nine East

10 headgate where the fire occurred?

11 A. Not that I'm aware of.

12 Q. Okay. Have you heard --- have

13 you ever heard of using a chain

14 ratchet to fix the dollies if they

15 drop off or cock and to straighten

16 them up or anything?

17 A. Yes.

18 Q. Does this correct the problem

19 if they do that?

20 A. No. You've fixed what ---

21 you've fixed the problem but you

22 never --- you fixed what happened,

23 but you never fixed what caused what

24 happened. You with me?

25 Q. Right. If this did happen,

69

01 what would you recommend if they had  
02 to take a chain ratchet in there and  
03 straighten the dolly up for some  
04 reason? What would you recommend?

05 A. I'd have to try to figure out  
06 what caused it to trip --- to cock.

07 Q. Right.

08 A. So it would be different ---.

09 Q. To determine the cause; is  
10 that correct?

11 A. Correct.

12 Q. Is there a tolerance? We  
13 mentioned these trip lever posts. Is  
14 there a specific tolerance as far as  
15 being there's one on each side on ---  
16 in each rail? Is there a tolerance?  
17 Do they have to be within plus or  
18 minus any inches or anything specific  
19 to where they're straight across from  
20 each other? Is there a tolerance or  
21 a recommendation of where those  
22 drop-off posts are located?

23 A. Straight across from each  
24 other?

25 Q. Uh-huh (yes). Is there a

70

01 tolerance, you know, plus or minus an  
02 inch or ---

03 A. No.

04 Q. --- any tolerance like that  
05 you know of?

06 A. No, not that I'm aware of.  
07 There is a place that you can put  
08 this drop-off bracket in which is  
09 fixed directly across from the other  
10 one, so it doesn't allow for there to  
11 be any --- if one dropped off a  
12 second before the other, it's no  
13 factor.

14 Q. Okay. How would it affect it  
15 if you got two rails running  
16 parallel, if you misaligned --- if  
17 you started this one off alignment a  
18 little bit with this one, is this  
19 structure physically connected  
20 together as it's put in or is it  
21 bolted or is to remain perpendicular  
22 with each rail? Is there --- how is  
23 it constructed here to keep the rails  
24 parallel with each other when you  
25 install it?

71

01 A. I'm not aware of how the mines  
02 started and left, so I can't really  
03 answer that. I'm not aware of how



04 they started and left it. If they  
05 cut one rail off shorter than the  
06 other, which they shouldn't have cut  
07 it period. But that's going to cause  
08 you to be cocked.

09 Q. If you had the belt rubbing in  
10 this takeup, in this takeup structure  
11 area, would you have a specific  
12 recommendation on how you would train  
13 that belt? How would you recommend  
14 to the company that they would train  
15 that belt to get it to quit rubbing  
16 if the installation was rubbing?

17 A. I don't --- if you're outby, I  
18 would train it with one of your  
19 return roller drop brackets, take  
20 them one forward or one backwards.  
21 But I don't have a recommendation in  
22 the storage unit.

23 Q. What would cause it to be  
24 running and misalign in that storage  
25 area if ---?

72

01 A. It could be a numbers of  
02 things. Don't know.

03 Q. Okay. Is there a specific  
04 grease recommended for the rollers  
05 and the bearings on a belt

06 installation?

07 A. If there is, I'm not aware of  
08 it.

09 Q. Okay. So if the miner's using  
10 a Chevron grease, you would say it  
11 was probably acceptable then?

12 A. That would --- I probably  
13 would.

14 Q. What recommendation would you  
15 have on the frequency of greasing the  
16 rollers. Let's say the drop-off  
17 rollers first.

18 A. Okay. It would probably be  
19 due to the environment that they was  
20 exposed to. If it's a real sloppy  
21 belt and it's got a lot of water,  
22 then I would prefer --- myself, I  
23 would think that you would do it more  
24 often, although I have no control of  
25 when they do it. But I could say,

73

01 you know, I think you ought to do  
02 this on a regular basis because  
03 you're exposed to a lot of water,  
04 muck. If it's a nice clean unit,  
05 your --- everything's norm, then  
06 probably weekly.

07 Q. Weekly?

08 A. That's just roughly.

09 Q. That was with the drop-off  
10 rollers. Let's just back up to say  
11 the pillar box bearings brings on the  
12 stationary and the portable carriages  
13 depicted on Exhibit B, what  
14 recommendation would you make there?

15 A. It would probably be to the  
16 same environment. You know, if they  
17 were using a lot of --- if it was  
18 exposed to a lot of water, muck, it'd  
19 be frequent. If not, probably  
20 weekly.

21 Q. Weekly. So by frequent, what  
22 do you mean there? You say weekly if  
23 it was a clean environment. If it  
24 was a dirty work case scenario  
25 environment?

74

01 A. Every day.

02 Q. Every day, okay. What about  
03 the belt structure rollers, the top  
04 rollers and the trough rollers on  
05 inby the takeup unit, what kind of  
06 frequency would you recommend on  
07 greasing of those?

08 A. I don't think that there is a  
09 --- some of them, I don't think  
10 there's grease plugs on, some of them

11 there is. So I don't know which one  
12 they have to recommend one way or the  
13 other.

14 Q. Okay. Are the ones without  
15 grease plugs, are they a seal  
16 bearing? Are they --- so they ---?

17 A. That's correct.

18 Q. So you don't recommend any  
19 kind of lubrication on those if it's  
20 a seal bearing; is that correct?

21 A. That's correct.

22 Q. And if they have a grease  
23 fitting, more than likely, they would  
24 be at some interval that you would  
25 --- where you would lubricate those

75

01 bearings; is that correct?

02 A. That's correct. You would ---  
03 only assuming. In some cases, they  
04 never grease them once they're  
05 installed, they never touch them  
06 again.

07 Q. Can these bearings be  
08 overgreased?

09 A. If they can, I'm not aware of  
10 it.

11 Q. We discussed this earlier, but  
12 if these rollers were spread apart  
13 and --- for, say, a distance, quite a

14 distance, say, 30 feet just for  
15 hypothetically, and the belts were  
16 rubbing together. Would the belts  
17 running in opposite directions and  
18 friction together, could that cause  
19 heating or a problem, other than the  
20 misalignment problem with two belts  
21 running in opposite directions, to  
22 your --- to your experience?

23 A. I don't think so. They're not  
24 together long enough to cause a  
25 problem.

76

01 Q. Okay. On this winch, you've  
02 got a winch that's pulling this  
03 moveable carriage here and on this  
04 winch installation, there's a brake  
05 on that winch; is that correct?

06 A. That is correct.

07 Q. Does that brake have any kind  
08 of a wear indicator or anything that  
09 would show that if the winch power  
10 was lost or the belt shut off and not  
11 running, that that brake will hold in  
12 that instance to your knowledge?

13 A. Not to my knowledge that it  
14 has a wear indicator, but if the  
15 brake's not holding, then we need to

16 find out why.

17 Q. All right. If the belt was  
18 running and the winch was not  
19 holding, would the brake be set at  
20 that point in time if the belt's  
21 running?

22 A. No.

23 Q. Okay. So the brake would only  
24 sit when you turned the belt off; is  
25 that correct?

77

01 A. That's correct.

02 Q. So when you turned the belt  
03 off, and the winch was not holding,  
04 what kind of effect could that have  
05 in your estimations?

06 A. Usually if the brake shuts  
07 down, just prior to the belt kicking  
08 off, the belt --- the brake locks up.  
09 And you'll hear the power unit shut  
10 down. If the brakes not holding, it  
11 will (makes sound) and release itself  
12 real slowly, telling me that  
13 something's wrong with the brake.

14 Q. What would happen if the brake  
15 --- the brake was not holding and,  
16 say, one of your drop-off carriages  
17 is just past one of these drop-offs,  
18 could it damage the drop-off posts or

19 anything as it's drifting backwards?  
20 A. I can't see that it would  
21 because the drop-off posts are  
22 designed to strike about right here  
23 (indicating) to unlatch your drop-off  
24 carriages, which when it's unlatched,  
25 it should be setting here. It

78

01 unlatches and comes back to here. So  
02 if it comes all the way back, it  
03 should in unison pick each one of  
04 them up. Okay.

05 Q. And what he's indicating here  
06 that the movable dolly would come in  
07 a backwards direction toward the inby  
08 side of the takeup unit and that as  
09 it goes down the rail, it would go by  
10 these without causing damage.

11 A. Correct.

12 MR. STAHLHUT:

13 Can we go off the  
14 record here for a minute?

15 OFF RECORD DISCUSSION

16 BY MR. STAHLHUT:

17 Q. Going back to the width of the  
18 --- the recommended width of the  
19 belt. This print here depicts a  
20 60-inch belt, this Exhibit B, if I'm

21 not mistaken here. Would that be the  
22 --- let's see, I read it here a  
23 minute ago and looking upside down,  
24 yeah. A belt storage unit 60-inch,  
25 would that be the recommended maximum

79

01 width for a belt installation when  
02 you were using this print?

03 A. I would say so.

04 Q. Okay. And what would be your  
05 recommendation if you were asked ---  
06 we had a 48-inch belt we're going to  
07 put on this belt. What would your  
08 --- and the coal company asked you,  
09 would there be a problem with this.  
10 What would your recommendation be if  
11 they want to use a 48-inch belt on  
12 this particular 60-inch storage unit?

13 A. I would have to refer them to  
14 someone else who was more  
15 knowledgeable about it.

16 Q. Okay.

17 A. I, myself, can't see it  
18 present a problem, but I'd refer them  
19 to someone else.

20 Q. Okay.

21 A. And that's a lot of different  
22 issues, your dumping point, how much  
23 you're dumping on it, all that.



24 Q. Okay. As we discussed before,  
25 if these hold-down brackets were

80

01 missing like I indicated earlier, we  
02 discussed this, on the portable dolly  
03 unit, the portable unit that's hooked  
04 to the winch, would this allow the  
05 --- could this allow the unit to  
06 raise up enough that it --- could it  
07 miss the drop-off posts as these  
08 drop-off carriage rollers come by it,  
09 in your estimation?

10 A. You're saying this unit here?

11 Q. Right. It if could cock up  
12 enough that it would cause these  
13 drop-off rollers to miss the trip  
14 latch post.

15 MR. STAHLHUT:

16 Let's go off the record  
17 for just a minute.

18 OFF RECORD DISCUSSION

19 BY MR. STAHLHUT:

20 Q. I'll ask it again.

21 A. Okay.

22 Q. If the hold-down bracket on  
23 the individual dolly was missing, as  
24 indicated here by the diagram here on  
25 section BB where the angle was ---

01 this angle was missing, could it  
02 cause --- could it cock up or cause  
03 it to miss the support post lever  
04 that trips the --- that unlatches  
05 that drop-off dolly?

06 A. No.

07 Q. Okay. Why not in your  
08 estimation?

09 A. It has nothing to do with the  
10 height of that carriage sitting on  
11 top of the rail if that brackets not  
12 there. That bracket is a safety  
13 bracket, preventing it from cocking,  
14 coming off.

15 Q. What's a --- is there any kind  
16 of normal operating temperature for  
17 the rollers on the dolly that you're  
18 aware of, any bearing temperatures or  
19 anything like that?

20 A. I'm not aware of any. If it's  
21 hot to touch, you probably got a  
22 problem.

23 Q. So if --- getting back to  
24 these drop-off rollers, if this  
25 bracket was missing, would you think

01 that would cause it to make it cock  
02 easier to cause a misalignment of the

03 roller if this bracket allowed it to  
04 come up in any way?

05 A. If it came off of the rail ---  
06 it would cause it to misalign, if it  
07 came up and come off of the rail,  
08 providing that the mine's never  
09 reinstalled the angle bracket. And I  
10 have no knowledge whether they did or  
11 they didn't.

12 Q. Okay. We talked about bearing  
13 temperatures a little more and  
14 through some of the testimony and  
15 stuff, we've heard a lot about  
16 different people taking belt  
17 temperatures on --- bearing  
18 temperatures on the belts and  
19 different locations throughout the  
20 mine. Is that something the mine's  
21 done or is that a recommendation from  
22 Continental or does Continental  
23 recommend any sort of a bearing  
24 temperature that would be a maximum  
25 or anything?

83

01 A. I'm not aware of Continental  
02 recommending any temperature. The  
03 reasoning that usually a mine will  
04 check a roller, they have comparisons

05 between each bearing. They'll shoot  
06 different bearings and it may spike  
07 it 80, 90 degrees. One spike's at  
08 150, that could be a problem roller,  
09 a bearing. So you would address that  
10 bearing. That's nothing that we  
11 recommend. It's a good procedure.

12 Q. Is it normal in your  
13 experience for coal companies to take  
14 bearing temperatures on their belt  
15 installations on a regular basis?

16 A. I'm sorry, I'd appreciate you  
17 asking me again.

18 Q. Okay. Does --- is that --- in  
19 your experience you've worked with  
20 several different companies, coal  
21 companies, et cetera.

22 A. That's correct.

23 Q. Are there other companies that  
24 take bearing temperatures on the  
25 belts on a routine basis?

84

01 A. Yes, there is.

02 Q. In your --- the Vector winch  
03 unit that controls the --- that  
04 supplies the force on the winch rope  
05 that pulls, you know, pulls the  
06 carriage, it's got a 150 horsepower  
07 motor, if I'm correct, and it's got a

08 cooling motor on top of the Vector  
09 winch. What is the purpose of that  
10 cooling motor?

11 A. It causes air to travel around  
12 the motor, itself, to keep the motor  
13 cool, the main motor cool.

14 Q. Okay. Why would it need a  
15 cooling motor?

16 A. It's like a residual. The  
17 motor, itself, that's on there is not  
18 all the time running. It's creating  
19 heat as it holds, so your fan on top  
20 of it causes the air to circulate  
21 around it and keep it cool to what  
22 temperature heat, I'm not aware of,  
23 but it know it's warmer to the point  
24 you would put a fan on top of it to  
25 keep it cool.

85

01 Q. Is this motor that's on the  
02 winch, does it hold a constant --- is  
03 it supplying a constant torque? Is  
04 it --- on the winch cable?

05 A. Correct.

06 Q. Okay. Now, I don't know how  
07 familiar you are with this, but I'm  
08 going to ask you the question. Do  
09 you know --- how familiar are you

10 with electrical systems on the belt  
11 takeup, first of all?

12 A. Minimal.

13 Q. Minimal?

14 A. Minimal.

15 Q. Okay. Why would that cooling  
16 motor need to be ground monitored?

17 Do you have any idea on that?

18 A. No idea.

19 Q. Okay. Is there a maximum or a  
20 minimum temperature that --- the  
21 control boxes, like the control box  
22 for the belt, the control box for the  
23 winch, is there a maximum operating  
24 temperature, ambient temperature,  
25 that those would need to be in?

86

01 A. If there's a max, I'm not  
02 aware of it.

03 Q. Okay. Are you aware of any  
04 problems with --- at the Aracoma Mine  
05 on this belt installation of where  
06 they received an over-temperature  
07 indication on the starter boxes?

08 A. I'm not aware of it. If they  
09 did, I'm not aware.

10 Q. So they didn't ask you any  
11 questions about an over-temperature  
12 indication then?

13 A. No.

14 Q. Do you know if they asked  
15 anyone else for Continental about  
16 that same problem?

17 A. I've only heard but I don't  
18 know.

19 Q. What did you hear then?

20 A. Just --- it would be just  
21 talk-talk. I really --- I don't  
22 know.

23 Q. Okay. Are you aware --- has  
24 there been any overheating problems  
25 on this longwall Nine headgate belt

87

01 installation that you're aware of, be  
02 it motors or electrical heating any  
03 way that you've become aware of?

04 A. Not that I'm aware of. They  
05 wouldn't have told me nothing.

06 Q. Okay. And who would have  
07 worked on the electrical problems  
08 with the Aracoma Mine for Continental  
09 if there's an electrical problem and  
10 they requested the information --- or  
11 requested assistance from  
12 Continental? Who would ---?

13 A. Probably been one of their  
14 electricians underground with, more

15 than likely, Dave Nance.

16 Q. Okay. And what's Dave Nance's  
17 position with Continental?

18 A. He's my boss.

19 Q. Okay.

20 A. He's a sales.

21 Q. Sales rep?

22 A. Yes.

23 Q. Now, do the sales rep --- are  
24 they normally the ones that do the  
25 electrical work for Continental?

88

01 A. No.

02 Q. Does he have a background in  
03 the electrical as well as the sales  
04 end of it?

05 A. I don't know his credentials.

06 Q. Okay. Do you know --- have  
07 you heard --- if you were going to  
08 work on an electrical problem, did  
09 --- does Continental have qualified  
10 electricians or do they --- in normal  
11 operating or do they work under the  
12 supervision of a qualified  
13 electrician from the mine?

14 A. They'd have to work under the  
15 supervision of a qualified  
16 electrician from the mines.

17 Q. Why would --- or why would you



18 think that the ground monitor for the  
19 --- for a Number One drive motor,  
20 what would be a reason that you would  
21 jumper a ground monitor for a drive  
22 motor on a drive, specifically like  
23 this takeup or this belt unit here?  
24 Would there be a reason why you would  
25 jumper a ground monitor out?

89

01 A. I'm not familiar enough with  
02 the unit to even tell you what the  
03 ground monitor is.

04 Q. Okay. That's fair. Do you  
05 ever consult with your Continental  
06 corporate office of your engineering  
07 staff or anything if the mine  
08 presents you with problems? Do you  
09 confer with them on recommended fixes  
10 or how you would answer certain  
11 questions or anything like that?

12 A. Yes.

13 Q. What kind of things would you  
14 refer to them then, I guess?

15 A. I'm trying to think of  
16 something that would be in the scope  
17 of that which we're talking about.  
18 Probably even the bolts that was  
19 installed on the --- if they were

20 installed on the drop carriages would  
21 be something that I would refer to  
22 them about and they would recommend,  
23 more than likely, they would order  
24 the half-moon permanent fix devices.  
25 And a quick fix, a good permanent

90

01 quick fix is to extend the bolts to  
02 lock the wheels.

03 Q. So the permanent fixture would  
04 be the half-moon ---

05 A. Correct.

06 Q. --- slide-in?

07 A. A reversible until you change  
08 it back out.

09 Q. Okay. When you recommended  
10 this fix, did you confer with the  
11 people at Continental on this  
12 extending the bolts?

13 A. I don't recall.

14 Q. Are you familiar with this  
15 installation at the mine that it's on  
16 a pretty steep incline into the mine?

17 A. No.

18 Q. You're not aware of the hill  
19 that's there?

20 A. No.

21 Q. Okay. Normally when a belt  
22 like that is installed on a pretty

23 good hill or incline, would there be  
24 a maximum grade or would there be a  
25 specific size or horsepower that

91

01 would be recommended to operate on a  
02 steep grade or anything, to your  
03 knowledge?

04 A. Not to my knowledge. To  
05 clarify, if --- you're talking about  
06 the storage unit or the drives? The  
07 storage unit, I don't know how that  
08 would affect what horsepower takeup  
09 motor. I'm not aware how that would  
10 affect it.

11 Q. I was referring more to the  
12 drives.

13 A. The drive, if you was on a  
14 steep incline, they would refer to  
15 more horsepower. If it was going  
16 outside, you could probably get away  
17 with less.

18 Q. Have you consulted Continental  
19 about using the longer bolts instead  
20 of the half-moon rollers or a  
21 recommendation for that? Did you ---  
22 I think I asked that question. But  
23 did you consult with them or not?

24 A. I don't recall.

25 Q. When you've been working at

92

01 the mine and when you was around this  
02 drive area, were you involved in the  
03 original installation of the Ninth  
04 East headgate? Did you align the  
05 motors or do any work in that area  
06 when this belt was installed?

07 A. I did align the motors.

08 Q. Okay. You did align the  
09 motors. While you were there, did  
10 you observe any part of the  
11 installation of the water sprinkler  
12 system over this belt drive, takeup  
13 unit area?

14 A. No.

15 Q. Okay. Another question, what  
16 type of oil is normally used in the  
17 hydraulic takeup units that are  
18 supplied with Continental belt  
19 drives?

20 A. Some cases, they put 68  
21 weight, regular hydraulic oil. In  
22 some cases, they put fire resistant  
23 oil. I'm not aware of which was used  
24 in the application.

25 Q. Which cases would Continental

93

01 recommend which was used? Is there a

02 reason why one would be a fire-proof  
03 oil and one would be --- is there  
04 that you're aware of?

05 A. Only that we would recommend  
06 that they would use a fire-plus ---  
07 fire-proof --- would suggest that  
08 they would use a fire-proof because  
09 an underground application.

10 Q. So on a surface application,  
11 would they recommend fire-proof or  
12 regular oil, or do you know?

13 A. I would suggest either.

14 Q. Do you know what type of oil  
15 they used at Aracoma on their  
16 installations?

17 A. No.

18 Q. On that specific installation,  
19 would put it back to the Ninth East  
20 headgate?

21 A. I'm not familiar with any of  
22 the oils that they use in any of the  
23 takeup units.

24 Q. Okay.

25 A. One more thing.

94

01 Q. Sure.

02 A. You're talking about a takeup  
03 unit, there was not a hydraulic

04 takeup unit on this here.

05 Q. No, you're correct. On the  
06 unit, did --- the pinch rollers that  
07 they used to remove belt from this  
08 unit, was that part of Continental's  
09 --- did Continental supply the pinch  
10 roller unit that was used to squeeze  
11 the belt to pull belt off, to take  
12 belt out of the storage unit? Did  
13 they supply that unit?

14 A. That's correct.

15 Q. And did that --- that unit did  
16 have a hydraulic takeup for it; is  
17 that correct?

18 A. That's correct.

19 Q. Okay. And I was asking a  
20 general question, but I was getting  
21 specific there on that right now.

22 A. Okay.

23 Q. Continental does have other  
24 takeups that are still using the  
25 winch, do have hydraulic means to

95

01 tension the belt; is that correct.

02 A. That's correct.

03 Q. Okay. Next question here, and  
04 I'm going to try to explain this the  
05 best I can, we'll make it as clear as  
06 we can. Are you familiar with how

07 the belt at the Ninth East headgate  
08 was laced into the --- into the  
09 takeup unit at that particular  
10 installation?

11 A. No.

12 Q. Okay. Is it normal --- the  
13 drawings here, the print on Exhibit B  
14 here shows the belt as coming out of  
15 the bottom of this takeup unit here  
16 and then it comes in --- the belt  
17 comes in the top of this unit and  
18 comes out of the bottom of this unit,  
19 if I'm correct. Is that the  
20 recommended --- do you know if ---  
21 does it make a difference if instead  
22 of it coming in on the top and the  
23 belt coming out of the bottom, the  
24 bottom of the belt was traveling in  
25 this direction toward the drive,

96

01 along the horizontal rails as is  
02 exhibited on Exhibit B here? Does it  
03 make a difference if this belt come  
04 into the top and after it's laced  
05 through and come out the bottom going  
06 this way to the inby side or to the  
07 right-hand side of the print on  
08 Exhibit B if you're looking at the

09 correct orientation? Would the  
10 opposite lacing of this belt create a  
11 difference in this installation?

12 A. I don't know.

13 Q. Okay.

14 MR. STAHLHUT:

15 Do you want to ask some  
16 questions, Dan? I'll turn it  
17 over to Dan and let the State  
18 ask some questions here.

19 BY MR. COOK:

20 Q. Again, my name's Danny Cook  
21 and I ask you to be patient and I'll  
22 try not to be too redundant. I think  
23 --- the way I understood, you were at  
24 Ten headgate on the 19th; is that  
25 right?

97

01 A. That's correct.

02 Q. Okay. Do you know what time  
03 you all left Ten headgate to come  
04 outside? What time you came by Nine  
05 headgate?

06 A. The best of my knowledge would  
07 be around 3:15, estimate.

08 Q. Now, you had been at Ten or  
09 Nine headgate at some point but you  
10 didn't remember the date; is that  
11 correct?



12 A. We had traveled under Nine  
13 headgate belt line to get to Ten  
14 headgate, like a crossunder ---  
15 Q. Right.  
16 A. --- on the 19th and back out  
17 under the belt to get to the outside.  
18 Q. But had you been to Nine  
19 headgate on any previous days?  
20 A. I don't remember. I mean, I  
21 had lined up the drive at Nine  
22 headgate. I did the alignment on it.  
23 Q. But you're not sure between  
24 the time you aligned it up and the  
25 19th, if you were there on any days

98

01 other than that?  
02 A. No.  
03 Q. Okay. Could you tell us  
04 again, how Continental recommends for  
05 this unit to be anchored down?  
06 A. I don't know that Continental  
07 has a certain recommendation, but  
08 that's what I, myself, would  
09 recommend. Now, the individuals who  
10 install it, you know, if they  
11 installed it with stiff jacks toward  
12 the walls, it's not something I would  
13 recommend.

14 Q. Okay. So you never actually  
15 looked at this unit to know how it  
16 was anchored down?

17 A. I can vaguely --- I cover  
18 about 50 different mines and I can  
19 vaguely remember looking but I have  
20 no --- I have no idea, no, of how it  
21 was anchored.

22 Q. I believe on this particular  
23 unit, they had welded legs up under  
24 it to try to compensate for some of  
25 the grade. Do you see any problem

99

01 with that?

02 A. No.

03 Q. Okay. As you have traveled  
04 around to different places here or  
05 been to different storage units for  
06 Alma Mines, have you ever noticed  
07 anything chained down that should  
08 have been welded down, anything on  
09 the storage units at all?

10 A. I don't recall. If I have, I  
11 don't recall.

12 Q. Okay. Would you explain to us  
13 how the drop-off carriage system  
14 works?

15 A. Whenever this unit, what unit  
16 you would call this, when this goes

17 forward, it drags all the drop-off  
18 carriages with it. As the takeup  
19 pulls this unit forward, dragging all  
20 the units, due to the elevation of  
21 the posts that are set up, determines  
22 on which one's going to drop. If I  
23 set this long post here, the longest  
24 post that I would have, if I set it  
25 here, then as soon as this unit

100

01 passes, it's going to drop them off  
02 behind it. The way it's to be  
03 installed is the smallest post will  
04 be set up here, next larger, next,  
05 next. So it would drop off each one  
06 in unison first, second, third, that  
07 way.

08 Q. Do you know the spacings on  
09 how far they should be dropped off?

10 A. No.

11 Q. Do you know how many drop-off  
12 carriages are normally used for each  
13 installation?

14 A. No. I don't know how many.  
15 It would be determined to the length  
16 of the storage unit, but I don't  
17 know.

18 Q. So you don't --- you wouldn't

19 know how many were being used at Nine  
20 headgate?

21 A. No.

22 Q. All right. The trip lever  
23 posts, could you tell me how those  
24 are installed on each unit --- on the  
25 storage unit?

101

01 A. They are dropped down into a  
02 hollow cavity and they're just  
03 standing up there. And their purpose  
04 is to lift about estimated five  
05 pounds. These are hollow steel  
06 posts, they're at an angle and the  
07 five pounds is when it crosses over  
08 the latch post, it unlatches to  
09 release the latches where the units  
10 are connected together. The latches  
11 are here (indicating).

12 Q. Okay. The trip lever posts,  
13 they come in different lengths; is  
14 that right?

15 A. That's correct.

16 Q. And are they made to where  
17 they're replaceable?

18 A. That's correct.

19 Q. What kind of things would  
20 cause them to need to replace those?

21 A. If they hauled them in and

22 they bent them up with a scoop or  
23 they ran over them, they would order  
24 some more posts prior to  
25 installation.

102

01 Q. Do you ever see any problems  
02 on these units that the posts get  
03 damaged in operation and have to be  
04 replaced?

05 A. In all the ones I've worked  
06 on, I can --- I've seen some posts  
07 that was broke. The reasoning why, I  
08 have no idea. I don't know even know  
09 if I've ever seen any at the Alma  
10 Mine that's been broke. But I have  
11 seen in the past, you know, a post  
12 broke. It may be put in half broke  
13 and then broke as it went by, not  
14 knowing why.

15 Q. What kind of problems do you  
16 see that could come up if you had  
17 missing or damaged trip lever posts?

18 A. If they wasn't there, they  
19 couldn't serve their purpose, so they  
20 wouldn't allow --- they wouldn't  
21 allow the units to trip.

22 Q. All right.

23 A. Okay. I was thinking how even

24 to help further explain that it  
25 wouldn't. If you were missing two,

103

01 then when you would drag, say, these  
02 here --- you were supposed to have  
03 one here and you came up and passed  
04 it, but there wasn't one there, so it  
05 couldn't drop. It would be carried  
06 up to this one and now you're going  
07 to drop off two at the same time.

08 Q. I know Ron talked to you  
09 earlier about what would happen if  
10 one side was unlatched and the other  
11 side remained latched. Do you see  
12 that being able to make the belt run  
13 out of alignment?

14 A. It would depend on a lot of  
15 different issues. There's always a  
16 possibility that it could and the  
17 possibility that it wouldn't. It  
18 would depend on if you cocked your  
19 drop-off carriage.

20 Q. If for some reason that a  
21 drop-off passed its drop-off point  
22 and went past the post that was  
23 intended to drop that off, how would  
24 it get back to its original area?

25 A. It would be pushed back by the

104

01 one that preceded it.

02 Q. Could it get past the post  
03 that should tripped it off?

04 A. I can't see it happening. I  
05 can't see that it would not unlatch.  
06 Anything's possible, but I can't see  
07 it not unlatching.

08 Q. Right. In an instance where  
09 you had a post missing on one side  
10 and a post unlatched one side but the  
11 post was missing on the other side,  
12 pulled that drop-off past its drop  
13 off point, then it would have to get  
14 some --- at some point or other, it  
15 would have to get back to its  
16 original position, wouldn't it?

17 A. That's correct. Rephrasing,  
18 you're saying if a post isn't there  
19 ---

20 Q. Uh-huh

21 A --- and you have a drop-off  
22 post that is there, you're still  
23 connected on one side ---

24 Q. Right.

25 A. --- but you haven't

105

01 disassembled it, you haven't  
02 unlatched it, you drug it across and

03 unlatched to unlatch the other side?

04 Q. Uh-huh (yes).

05 A. You're dragging it up through

06 there with the one latch.

07 Q. Okay. What would have to be

08 done to get it back to its original

09 position?

10 A. Bring the unit back, set

11 another latch up the post, pull it

12 back forward again to get it to

13 unlatch.

14 Q. Could the unit get --- the

15 latch that tripped that --- the latch

16 post that was standing, that tripped

17 its lever, would it be able to get

18 past that post?

19 A. I don't know. You normally

20 --- I'm trying to see. You're

21 dragging it up --- let's say, the

22 offside didn't unlatch because there

23 was no post there to make it unlatch,

24 ---

25 Q. Right.

106

01 A. --- then you were supposed to

02 unlatch on this side, but you didn't,

03 so you drug it overtop of the latch

04 pin. I would suggest you'd break

05 your post when you come back down



06 through. Don't know.

07 Q. Okay. So you weren't at Nine  
08 headgate so you wouldn't have  
09 observed the condition of the trip  
10 lever latches or the post, ---

11 A. No.

12 Q. --- any of those two? Do you  
13 know of any other problems that ---  
14 with the drop-off carriages that you  
15 heard talked about?

16 A. Not that I'm aware of.

17 Q. Okay. I think Ron asked you  
18 about the main carriage. Do you know  
19 of any problems that they were having  
20 with it?

21 A. None that I'm aware of.

22 Q. Do you know of any problems  
23 with the carriage limit switch  
24 mounting or operation?

25 A. No. It would have been --- an

107

01 electrician would have installed it.  
02 You're talking about over-ride limit?

03 Q. Uh-huh (yes).

04 A. An electrician would have  
05 installed it. I'm not --- I'm not  
06 familiar.

07 Q. All right. On this print,

08 there's a note right here that the  
09 drop-offs were originally supplied  
10 with five-inch diameter rollers. Do  
11 you know if this particular unit had  
12 the five-inch diameter rollers or the  
13 six-inch diameter rollers?

14 A. Don't know.

15 Q. Do you know why they went from  
16 a five-inch to a six-inch diameter  
17 roller? Were they having bearing  
18 failures or ---?

19 A. I don't know.

20 Q. Okay.

21 A. To more clarify this, I can't  
22 tell you that those drop-off  
23 carriages actually came with this  
24 unit, because once we sell the unit,  
25 there's no telling where they get the

108

01 parts from. You know, if they --- if  
02 we sell it to this mine, they use it  
03 here and then they use it over here,  
04 and use it --- and I don't know that  
05 this was the case. But they could  
06 have possibly got another carriage  
07 unit from another mine and I don't  
08 know.

09 Q. All right. I know you're not  
10 an electrician but the --- according

11 to the print, the winch box has an  
12 enclosure thermostat that should be  
13 set at 100 degrees Fahrenheit. Are  
14 you aware of that?

15 A. No.

16 Q. You're not aware of any  
17 problems they had with the enclosure  
18 of the winch starter being so warm  
19 that it shut the winch off?

20 A. No. I'm not aware of a  
21 problem that they have --- had with  
22 the winch box shutting the unit down.  
23 And I don't even recall if it was  
24 this mine, but in the past, I have  
25 recalled knocking some dirt out of

109

01 some fan motors. So I --- you know,  
02 I don't know if it's any help to you  
03 at all.

04 Q. Okay. Had you ever been to  
05 the starter box for the winch for  
06 Nine headgate?

07 A. Yes.

08 Q. When you went to the starter  
09 box, did you have to go through a  
10 stopping, through a man door to get  
11 to that starter box? Was it  
12 enclosed?

13 A. I don't remember.

14 Q. Can the tension on the belt be  
15 adjusted?

16 A. Yes.

17 Q. Again, you wasn't at --- you  
18 wouldn't have any idea or have you  
19 heard of any problems where tension  
20 could have played a role in the  
21 problems?

22 A. I don't know.

23 Q. If a drop-off carriage was  
24 wrecked, could you continue running  
25 the belt?

110

01 A. I wouldn't. Whether they did  
02 or not, I don't know. Or whether  
03 they had one wrecked, I don't know.  
04 But I wouldn't.

05 Q. What kind of problems could  
06 that cause if you did continue to run  
07 the belt?

08 A. I'm thinking it would possibly  
09 cause a misalignment. Don't know  
10 that it would, but ---.

11 Q. Did you hear of any  
12 discussions about problems on the  
13 Nine headgate longwall belt while you  
14 were working on the Ten headgate  
15 belt?

16 A. Don't recall.

17 Q. When you came by Nine headgate  
18 on your way out on the 19th, do you  
19 recall if they were working on the  
20 belt?

21 A. Don't recall. I never --- I  
22 do recall not seeing anyone working  
23 on the belt, because I was in the  
24 mantrip and I never seen any lights  
25 of those that exited the mantrip to

111

01 open the doors.

02 Q. Do you recall if the belt was  
03 running ---

04 A. No.

05 Q. --- at that time? Were you  
06 aware of any problems at the Nine  
07 headgate that could have created any  
08 heat, just as far as what you heard  
09 people talk about?

10 A. Don't know of any. Myself, I  
11 don't know of any.

12 Q. Okay.

13 MR. COOK:

14 That's about all I've  
15 got.

16 BY MR. STAHLHUT:

17 Q. Okay. I got a question. Here

18 on the takeup unit, these drop-off  
19 rollers here on this print depicts  
20 three rollers here ---  
21 A. Uh-huh (yes).  
22 Q. --- is that correct? Do you  
23 know what was at the Nine headgate?  
24 A. (Indicates no.)  
25 Q. I'd like to have you ---.

112

01 ATTORNEY CARROLL:  
02 What's your answer?  
03 A. No. I don't know. I'm sorry.  
04 BY MR. STAHLHUT:  
05 Q. Why don't I just ---.  
06 MR. STAHLHUT:  
07 I want this picture  
08 here. I want to show him this  
09 picture. And this Aracoma  
10 picture --- this is Exhibit D  
11 Williams and it's Aracoma  
12 MMR060. It's a digital  
13 photograph picture of one of  
14 the carriage rollers.  
15 (Williams Exhibit D  
16 marked for  
17 identification.)  
18 BY MR. STAHLHUT:  
19 Q. Does this carriage roller ---  
20 is this carriage roller --- does it

21 --- as best you can see here, does it  
22 depict the same rollers as here where  
23 it's got three rollers? Or does it  
24 look --- appear to be different?  
25 A. From what I can see, it

113

01 appears there's only two rollers in  
02 this unit and you have a spreader bar  
03 ---

04 Q. Okay.

05 A. --- it appears on the bottom.

06 Q. That's what my impression was.

07 I just wanted to know what you were  
08 seeing there. If I was seeing the  
09 same thing you were. That it is,  
10 then, different than what's depicted  
11 on this print here; is that correct?

12 A. That's what --- the best of my  
13 ability to look and see that you only  
14 have two rollers and a spreader bar  
15 on the bottom.

16 Q. Okay.

17 MR. STAHLHUT:

18 And here's another  
19 Exhibit. This is another  
20 picture of it to refer to.  
21 This is Exhibit E Williams.  
22 And this is Aracoma

23 MMR054.jpg. It's another  
24 digital picture that shows all  
25 the rollers stacked up in that

114

01 unit the way it was with the  
02 fire.

03 (Williams Exhibit E  
04 marked for  
05 identification.)

06 BY MR. STAHLHUT:

07 Q. But it may be a little better  
08 to judge the number of rollers there  
09 is what I'm asking there.

10 A. Still yet, I can only see two  
11 rollers.

12 Q. Right. Would there be a ---  
13 would lacing it in the opposite  
14 direction --- or would there be any  
15 reason that there would only be two  
16 rollers versus what the print showing  
17 the three rollers here, to your  
18 knowledge?

19 A. To my knowledge, I don't know,  
20 unless it would have been two lapse  
21 of belt versus three. I don't know.

22 Q. Okay. Does it appear that  
23 this type of dolly would be  
24 compatible with this unit, to your  
25 knowledge or is it something that's



01 been modified by the mine, or do you  
02 know?

03 A. I don't know.

04 Q. Okay. Do you know --- in your  
05 experience of working with the  
06 Continental equipment, are they  
07 normally supplied with three rollers  
08 like that or is that --- or is there  
09 different variations?

10 A. I don't know.

11 Q. Okay. Actually, I've got a  
12 few more questions here.

13 A. All right.

14 Q. We'll try to get through this.  
15 On the day --- do you know, were you  
16 on the mine at the 16th of January?

17 A. If I was, I'm not aware of it.

18 Q. Okay.

19 A. I don't recall.

20 Q. Okay. On the 19th when you  
21 were there, do you know if there was  
22 any belt removed from that storage on  
23 that day you were there on the 19th?

24 A. I'm not aware that there was.

25 Q. Okay. Do the trip levers

01 prevent the drop-off dollies from

02 moving backwards after the dolly

03 unlatches, the trip lever posts?

04 A. No.

05 Q. Okay. What if a post is

06 missing on one side? Are they

07 fastened in --- first of all, let me

08 back up and let's rephrase that

09 first.

10 These drop-off posts, you said

11 they dropped down into a hollow

12 enclosure. Are they retained in that

13 enclosure by any means?

14 A. If there is, I'm not aware of

15 it.

16 Q. Okay. Then if one is missing

17 for some reason, it's jerked out or

18 whatever, what would happen if

19 there's only one of them there?

20 A. One side would unlatch and the

21 other side wouldn't.

22 Q. Okay. And would that cause a

23 misalignment of the belt or could it

24 cause a misalignment?

25 A. It's possible.

117

01 Q. Okay. Can the storage unit be

02 installed with a winch on either end?

03 Do you know?

04 A. The best of my knowledge, if

05 you put a winch on one end, you turn  
06 the whole unit around. That's the  
07 best of my knowledge.

08 Q. To the best of your knowledge.

09 So if --- if we wanted to lace the  
10 belt in the opposite direction, then  
11 would Continental recommend that the  
12 winch --- that you would turn the  
13 whole thing around and not just  
14 reverse the direction of belt?

15 A. Don't know that Continental  
16 would recommend.

17 Q. Either way?

18 A. Either way.

19 Q. Okay. Could you visualize  
20 problems if you only partially  
21 installed it the way the drawing's  
22 intended where it was laced from the  
23 opposite direction, you know, entered  
24 the opposite direction?

25 A. I can't, but Don Hagy who

118

01 installs them on a frequent basis  
02 would be more apt to tell you one way  
03 or the other.

04 Q. Okay. When we referred back  
05 to the stiff legs over the rib to  
06 whatever the purpose they were used

07 here. And you said you would not  
08 recommend it, but you would recommend  
09 this anchorage here. Why would you  
10 not recommend anchorage --- or stiff  
11 legs over to ensure that it stayed  
12 stable with that? Was there a reason  
13 you would not recommend it?

14 A. I would suggest that if the  
15 stiff leg fell out, you know, there's  
16 all kind of different --- if they  
17 welded it, it may be okay. If it  
18 fell out, then you've got no more  
19 support. With fly pins, they're not  
20 going to fall out.

21 Q. Will these fly pins ---  
22 getting back to these pins. These  
23 pins are anchored down into the mine  
24 floor; right?

25 A. That's correct.

119

01 Q. That's correct. Is this a ---  
02 what kind of anchorage is that? Is  
03 it a --- are you familiar with what  
04 kind of anchorage is used when these  
05 are fastened down?

06 A. I don't really understand what  
07 you're trying to say.

08 Q. This is a bolt or some type of  
09 a mechanism that goes down in a hole

10 that's secured to the mine floor. Is  
11 it some kind of resin filled or is it  
12 some kind of an expansion shield that  
13 retains this thing in place or ---?

14 A. No. They're gravity dropped  
15 into the hole. The holes are drilled  
16 at a slight angle which would prevent  
17 --- they would have to remove 20  
18 inches of rock to come out, to come  
19 forward, because they're at like a 22  
20 and a half or so angle.

21 Q. Okay.

22 A. And you put a turn buckle onto  
23 it, you take the other one, roughly  
24 just estimate of 22 and a half, and  
25 you put another turn buckle on it, so

120

01 you're set --- to support it such as  
02 this at a total raise of 45.

03 Q. In that instance right there,  
04 if you had an accumulation of coal or  
05 something underneath the belt that  
06 was creating an upward force, could  
07 that cause these anchorage pins to  
08 lift up or disengage?

09 A. I can't see that it would.

10 Q. And why would that be?

11 A. At such force, you'd be

12 pulling up this direction, having to  
13 cause the fly pins to come forward to  
14 give them slack. I don't think you  
15 could take a piece of equipment and  
16 pick up on them and cause them to  
17 come loose in theory.

18 Q. Right. Because of the angle  
19 that the bolt's ---

20 A. Right.

21 Q. --- installed on? Were you  
22 there when they --- or did you  
23 observe how these were installed  
24 here? Were they in a vertical  
25 position or were they on an angle?

121

01 Do you know?

02 A. No, I don't know.

03 Q. Have you observed any of them  
04 installed at Aracoma Mine and were  
05 they installed this way on an angle  
06 or do you know?

07 A. I don't know.

08 Q. Okay. If one of these  
09 drop-off dollies come off of the  
10 rail, would it --- could it --- would  
11 it miss the latch post?

12 A. I don't know. It would depend  
13 on the severity of misalignment. And  
14 that latch plate is real wide, so I

15 suggest that more than likely it  
16 wouldn't if it was cocked. That it  
17 wouldn't miss its latch providing the  
18 latch post was there.

19 Q. Okay. What service would a  
20 coal company expect from your company  
21 when they purchase a new belt drive  
22 and/or takeup unit being installed  
23 underground?

24 A. If they needed me, they'd call  
25 me and I would suggest a tech, like

122

01 changing out a brake, but I don't  
02 install no carriages, no belt lines,  
03 no rollers. Anything that they can  
04 do themselves, is theirs.

05 Q. Okay. So if --- you wouldn't  
06 be --- the company wouldn't be  
07 involved in other portions that's not  
08 part of it, like a fire suppression  
09 system ---

10 A. No.

11 Q. --- or other things like that?

12 A. Right.

13 Q. Okay. What about guarding of  
14 belt drives and stuff like that, do  
15 you --- does Continental provide any  
16 of that or is that strictly --- does

17 the mine do all their own guarding  
18 --- provisions for guarding?  
19 A. The mine does their own  
20 guarding. Some mines do it different  
21 than others. But they guard it. If  
22 it was an incident where I was to be  
23 there and I deemed that the way that  
24 they applied the guarding was  
25 hazardous or it wouldn't work, I'd

123

01 voice my opinion.  
02 Q. And I guess the power supply  
03 for a belt drive, that would be the  
04 mine's responsibility or would that  
05 be Continental's on adequately sizing  
06 the transformer that supplies power  
07 to be adequately sized for the belt  
08 drive? Is that Continental? Do they  
09 make recommendations or does the mine  
10 do all that on their own? Do you  
11 know?

12 A. I don't know.

13 Q. Have you been underground at  
14 Aracoma since the mine fire?

15 A. Yes.

16 Q. What were you there for then  
17 or for what reason?

18 A. I was asked to come in and  
19 inspect a tailpiece bearing that



20 prior to the fire they had lost  
21 several bearings and so to give them  
22 the best of my knowledge of why I  
23 would think that something would go  
24 down or not go down, and it doesn't  
25 mean that it's fact, but if you've

124

01 got a bearing that's fouled on a  
02 tailpiece, if I remove all the bolts  
03 out of the whole tailpiece and happen  
04 to take chain ratchets --- of course,  
05 they remove the bolts, I tell them  
06 what to do. Take chain ratchets  
07 simultaneously pull the tail roller  
08 backwards. If the bearing is cocked,  
09 it will jump over to a neutral  
10 position, which tells me that it was  
11 installed wrong to start with. This  
12 particular one at Four tailpiece, I  
13 was asked by the chief electrician  
14 what my --- you know, to give him a  
15 remark of what I seen, what I  
16 thought. This one was installed  
17 correct. When I pulled it back, the  
18 tail roller, it came straight back,  
19 marking it. It came straight  
20 forward, which tells me that the  
21 bearing wasn't preloaded. Now, I

22 have no idea why the other ones had  
23 failed. I wasn't there. That was  
24 one installed correctly. That was  
25 the reason I was there after the fire

125

01 to look at Number Four tailpiece  
02 bearing.

03 Q. On this bearing here, does  
04 Continental bearings --- have you got  
05 one side that's floating and one side  
06 that's a fixed bearing? Or do you  
07 know?

08 A. That's correct.

09 Q. Which side is usually the  
10 fixed bearing, do you know?

11 A. No.

12 Q. So it could vary from however  
13 they're installed; is that correct?

14 A. That's correct.

15 Q. Was there any --- were you in  
16 the Nine headgate or Ten headgate  
17 area after the fire, do anything?

18 A. No.

19 Q. When you make recommendations,  
20 are they yours or are they yours and  
21 your company's or a combination of  
22 both, I guess I should say?

23 A. I would say that as high as 80  
24 percent of them is mine, 20 percent's

25 the company's. To the best of my

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01 knowledge, that's about as close as I

02 can come.

03 Q. Okay. Have you heard what

04 caused the fire at the mine on the

05 19th at Aracoma Mine?

06 A. Only people talking. Fact,

07 no.

08 Q. Well, what did you hear? The

09 people talking, did you hear anything

10 there or anything in passing?

11 A. I heard a bearing went down.

12 That's what I heard, that a bearing

13 went down. The belt was crossing the

14 bearing. The belt was then shut off

15 and sat on the bearing and ignited.

16 Q. And which --- did they say

17 specifically which bearing?

18 A. No.

19 Q. Do you know who you heard say

20 this?

21 A. No.

22 Q. Okay. Have you heard any of

23 any other fires on the belts at

24 Aracoma Mine, any other

25 installations?

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01 A. I heard of a fire, but I don't  
02 know the detail about it. It was on  
03 a pinch roller pump, that's all I  
04 know.

05 Q. Do you have any idea what  
06 location this was in?

07 A. I don't know the location, no.  
08 I mean, if I told you, it'd only be  
09 speculating. I really don't know.

10 Q. Okay. So is there more than  
11 one location? Is there a pinch  
12 roller located at Aracoma Mine, other  
13 than the Nine headgate at the current  
14 time?

15 A. I don't know.

16 Q. Do you know if Continental  
17 supplied more than one, I guess?

18 A. I don't know.

19 Q. Okay. What kind of fire ---  
20 you said this was --- you heard this  
21 was on a pinch roller pump?

22 A. Pinch roller pump.

23 Q. You're talking about the pump  
24 on the hydraulic supply unit; is that  
25 correct?

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01 A. That's correct.

02 Q. Do you have any idea what time  
03 frame this was or when you heard

04 this?

05 A. No.

06 Q. The first time you heard it?

07 A. After the Aracoma fire, it was  
08 rumored that there was a fire on a  
09 pump and they'd speculate different  
10 things and it was only like standby  
11 or listening, eavesdropping and I  
12 don't know details.

13 Q. Okay. And by this, you're  
14 talking about the pump, you're  
15 talking about the hydraulic pump for  
16 the pinch roller that's --- the pump  
17 that supplied the hydraulics to the  
18 motor on the pinch roller; is that  
19 correct, from what you understand you  
20 heard?

21 A. That's correct.

22 Q. Any other fires you heard of  
23 in there or anything specific?

24 A. Not that I'm aware of.

25 Q. What kind of training has

129

01 Continental provided you for working  
02 on these belt drives? Have they  
03 provided any kind of formal training?

04 A. I spent estimated three weeks  
05 with Paul Coots (phonetic), which is

06 one of their tech men in Kentucky on  
07 alignments, which is what I do,  
08 alignments. I've been in Faulk for a  
09 week, Faulk School, and after the  
10 whole class, the best I can say is  
11 don't mess with it unless you know  
12 what you're doing. That's what it  
13 amounts to. And I assured my boss on  
14 the alignments if I didn't know what  
15 I was doing, I wouldn't leave it.  
16 You know, I'll tell you if I know  
17 what I'm doing and I can do it. You  
18 can be sure that it will be right.  
19 If not, I'll tell you. We'll bring  
20 in reinforcement.

21 Q. Had you received any training  
22 on these sliding dollies, any formal  
23 training on those?

24 A. No.

25 Q. Okay. And it's just basically

130

01 your own knowledge and what you've  
02 observed at the mine and what you've  
03 observed working on the job?

04 A. Correct. And the dollies,  
05 installing the dollies. When the  
06 rails are put up, they're pretty well  
07 self-explanatory. If you leave the  
08 latches on the bottom, you can't set

09       them on the rails because the latches  
10       will prevent you from setting them on  
11       the rails.  You have to slide them on  
12       the end of the rails to bypass the  
13       latches.

14       Q.  When you heard of the fire on  
15       the 19th, heard people talking about  
16       it, did they say was it --- was it a  
17       dolly bearing --- a drop-off roller  
18       bearing?  Was it a fixed bearing?  
19       Was it on the mobile bearing?  Do you  
20       have which bearing they were  
21       referring to?

22       A.  No.

23       Q.  As a representative of  
24       Continental, if there's less than  
25       eight of these drop-off rollers

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01       installed --- the drop-off carriage  
02       rollers, what concern would you have  
03       if there was less than eight of them  
04       installed on a Continental takeup?

05       A.  It would depend on the total  
06       length of the storage unit because  
07       six may be sufficient providing that  
08       the length was smaller than norm.  If  
09       even eight --- you know, I don't know  
10       what they had, but if even eight was

11 in there and the length was twice as  
12 long, you're still going to have belt  
13 come together. So I can't ---.

14 Q. So if you had the normal  
15 that's recommended on --- I think  
16 this print here, of --- I think it  
17 says, what, 228 feet. If you had an  
18 installation that was 228 feet and  
19 you only had six rollers, would that  
20 be a concern or could that be a  
21 concern?

22 A. Don't know. I can't see that  
23 it would for that short a distance.

24 Q. Does the tension on the belt  
25 have an effect on the drop-off roller

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01 operation?

02 A. The tension on the belt have  
03 --- affect the drop-off rollers  
04 installation? The more tension that  
05 you have on the belt, if I can say  
06 that, then the further back the  
07 carriage will be pulled, the more  
08 drop-offs you'll have. If you have  
09 less tension, your storage unit will  
10 stay here. They'll be set like that.

11 Q. Okay.

12 A. That's not what you're asking.

13 Q. Okay. There's a constant



14 tension on the winch; ---

15 A. That's correct.

16 Q. --- right? So it's pulling it  
17 a certain amount of torque at all  
18 times.

19 A. Uh-huh (yes).

20 Q. If this amount of torque is  
21 lessened to where you've got less  
22 pull --- if the winch rope has got  
23 less pull on it, it's pulling less,  
24 will that affect the drop-off  
25 rollers?

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01 A. I can't see that it would  
02 affect them unless you had such a  
03 less pressure that it would cause the  
04 belt to go up and down between the  
05 rollers. By then, you would probably  
06 have slipped out on your head.

07 Q. Okay. So in your experience,  
08 if you don't have adequate tension  
09 here, your slip switch on your drive  
10 --- you're going to have slippage ---  
11 you're going to have slack in the  
12 drive or slippage in the drive, which  
13 would cause it to shut the belt down;  
14 is that correct?

15 A. That's correct, providing it's

16 hooked up.

17 Q. Right. Did you discuss the  
18 cause of the fire or has anyone  
19 discussed the cause of the fire with  
20 you since the accident? I think you  
21 said you heard it was a bearing so is  
22 there anything else you heard about  
23 causes of the fire or was that from  
24 more than one person or ---?

25 A. No, I have not heard anything

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01 else.

02 Q. Okay.

03 A. The first pictures I've seen  
04 was here.

05 Q. Has the mine contacted you  
06 with any concerns about the storage  
07 unit at the Nine headgate after its  
08 return to service? Have they  
09 contacted you as a representative of  
10 Continental with any concerns with  
11 the storage unit since the fire about  
12 do we need to do something different  
13 or any concerns they have with the  
14 installation? Was there anything  
15 done wrong? You following my  
16 question here?

17 A. I understand you now. No.

18 Q. When you're installing these

19 or when you were doing work at the  
20 mine, do you regularly work with Don  
21 Hagy?

22 A. A lot of times I do. Not  
23 directly with him. They'll give me  
24 two guys, which would be from his  
25 crew. He'll be doing installation on

135

01 the line. I'll be aligning the  
02 motor.

03 Q. Okay. So your primary  
04 responsibility, from what I heard you  
05 say, is aligning --- aligning the  
06 motors is your main reason for being  
07 at the mine; is that correct?

08 A. That's correct.

09 Q. After when he's --- say he's  
10 --- you're up there along in the  
11 motor, does he ever --- has he ever  
12 called you down to say come take a  
13 look at my belt takeup unit to see  
14 that it's installed correctly or that  
15 I'm doing it in a proper way? Does  
16 he refer to you or ask you questions  
17 on that?

18 A. I can't recall him asking me,  
19 but if he did, I'd be more than  
20 willing to voice my opinion. But I

21 can't recall him asking me.  
22 Q. From your working with him,  
23 does he seem pretty knowledgeable on  
24 the proper installation of the belts  
25 for Continental ---

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01 A. Yes, he does.

02 Q. --- or Continental supplies?

03 Okay.

04 A. You're talking about the belt

05 line?

06 Q. Yeah, the installation.

07 A. The storage unit, yes.

08 Q. The storage unit, the drive,

09 you know ---

10 A. Yes.

11 Q. --- all that?

12 A. Yes.

13 MR. STAHLHUT:

14 Dan, do you have any

15 more questions?

16 MR. COOK:

17 I have a couple more.

18 BY MR. COOK:

19 Q. In your eight years of  
20 experience, is it typical to see a  
21 lot of grease buildup around the  
22 storage units or the drives?

23 A. It's typical to see grease

24 buildup under a bearing because they  
25 normally don't know if they have

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01 adequate amount enough until they've  
02 purged it. And they'll purge it and  
03 it will accumulate. If I see that,  
04 I'll say they're greasing the  
05 bearing. If I see it dry, then I  
06 would assume they're not. So your  
07 answer's yes.

08 Q. Is it typical to see grease  
09 piled up in excess of six inches  
10 along the storage unit where the  
11 bearings travel?

12 A. I can refer to different parts  
13 but not exactly the storage unit, so  
14 I can't elaborate on that. I don't  
15 know.

16 Q. In your experience also, once  
17 a storage unit is installed, have you  
18 seen them get out of alignment?

19 A. I have, yes. Not at Aracoma  
20 that I remember. I'm not saying it  
21 didn't, but not at Aracoma that I  
22 remember. I'm just thinking of an  
23 issue where I was at where one did.  
24 So it tells me that --- yeah.

25 Q. In your --- if you were on a

01 service call and you went by a  
02 storage unit and you saw that four of  
03 the eight sets of trip levers were  
04 damaged, were missing, would that  
05 raise concerns with you?

06 A. It would if they was at the  
07 point to where they was utilizing the  
08 drop-off carriages if the belt wasn't  
09 being pulled up to even need those.  
10 If you've got four that's gone here,  
11 this belt's in operation, they're not  
12 going to reach that. So it wouldn't  
13 concern me.

14 Q. But if the belt --- if they  
15 did completely load up the storage  
16 unit, it would be a concern?

17 A. Yes.

18 Q. What would be the results if  
19 more belt was allowed to accumulate  
20 in the storage unit if the unit was  
21 at its capacity of belt?

22 A. It'd slip.

23 Q. Slip.

24 A. Yeah.

25 MR. COOK:

01 That's all I have, Ron.

02 MR. STAHLHUT:

03                   Okay.

04                   MR. STAHLHUT:

05                   One thing before I  
06                   forget, will you sign and date  
07                   this map because we've  
08                   indicated you made an  
09                   indication on here, and on  
10                   this one as well. I don't  
11                   think --- the others we don't  
12                   have anything on it, so ---.

13                   A. The 27th?

14                   MR. STAHLHUT:

15                   Yes, the 27th.

16                   WITNESS COMPLIES

17                   BY MR. STAHLHUT:

18                   Q. One last question. The  
19                   storage area here where you was  
20                   talking about anchorage for the  
21                   takeup units, would it make a  
22                   difference if there's a lot of water  
23                   traveling through this area on the  
24                   --- on these anchorage bolts? Even  
25                   though they're at an angle if you

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01                   would constantly add water in a wet  
02                   area, could that compromise the  
03                   anchorage or the alignment of this  
04                   belt takeup where water was

05 continually running through the area?

06 A. It would depend on what you  
07 anchored them into. If you anchored  
08 them into loose soft rock, fireclay,  
09 it could be a factor. If it were in  
10 sandstone, it wouldn't.

11 Q. Do you have any idea what  
12 their anchorage is in that area of  
13 the Ninth --- of the mother drive  
14 there?

15 A. I don't.

16 Q. Okay.

17 MR. STAHLHUT:  
18 I don't have any  
19 further questions right now.  
20 So we'll --- do you have  
21 anything else?

22 MR. COOK:

23 No.

24 MR. STAHLHUT:

25 Okay. Let me read you

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01 the closing statement here.

02 ATTORNEY CARROLL:

03 Before we're finished,  
04 would you give me just a  
05 minute to talk to him ---

06 MR. STAHLHUT:

07 Sure.



08 ATTORNEY CARROLL:

09 --- see if he wants to  
10 clarify anything.

11 MR. STAHLHUT:

12 And I'll give you  
13 another opportunity, too.

14 ATTORNEY CARROLL:

15 Okay.

16 MR. STAHLHUT:

17 That's in part of this  
18 statement, too.

19 ATTORNEY CARROLL:

20 Okay.

21 MR. STAHLHUT:

22 But go ahead if you  
23 want to talk to him.

24 ATTORNEY CARROLL:

25 Yeah.

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01 MR. STAHLHUT:

02 Do you want to go off  
03 the record?

04 ATTORNEY CARROLL:

05 Yeah. Just let me ---.

06 OFF RECORD DISCUSSION

07 MR. STAHLHUT:

08 On behalf of MSHA, I  
09 wish to thank you for

10 appearing here today and  
11 answering our questions and  
12 sharing your information about  
13 the mine. Your cooperation is  
14 very important to us as we  
15 work to determine the cause of  
16 the accident. If you wish,  
17 you may go back now over any  
18 answer that you have given  
19 during this interview and you  
20 may also make a closing  
21 statement covering any  
22 additional points you believe  
23 should be raised.

24 A. No. I can't think of any.

25 MR. STAHLHUT:

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01 Okay. We would ask  
02 that you do not discuss your  
03 interview today with any  
04 person who may have already  
05 been interviewed or who may be  
06 asked to be interviewed to  
07 give a statement in the  
08 future. This will ensure that  
09 we obtain any unbiased  
10 opinion, you know, and an  
11 independent memory of the  
12 events surrounding this

13 accident. After questioning  
14 other witnesses and obtaining  
15 additional information, we may  
16 be asking you back for further  
17 questions.

18 If at some later point  
19 in time you have additional  
20 information regarding the  
21 accident that you would like  
22 to provide us, please contact  
23 our team leader, which is Mr.  
24 Kenny Murray, or his staff  
25 assistant, which is Anthony

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01 Webb. And Anthony is sitting  
02 here behind here. Kenny had  
03 to leave momentarily. He was  
04 here at the beginning.

05 The Mine Act also  
06 provides certain protection  
07 for individuals who  
08 participate in accident  
09 investigations, so if you feel  
10 like you've been treated  
11 unfairly or someone's  
12 discriminating against you,  
13 you can contact one of them  
14 and they will take care of it.

15 Again, I want to thank you for  
16 your help. And do you have  
17 any clarifications or anything  
18 you'd like to present?

19 A. I do not.

20 MR. STAHLHUT:

21 Okay. Dan?

22 MR. COOK:

23 Mike, we do appreciate  
24 you coming today on behalf of  
25 the State of West Virginia.

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01 The State of West Virginia  
02 also offers a similar  
03 protection against being  
04 treated unfairly or  
05 discrimination because of your  
06 testimony. If you have any  
07 problems, you can contact C.A.  
08 Phillips, our Deputy Director,  
09 or Bill Tucker. And thank you  
10 again for coming today.

11 \* \* \* \* \*

12 EXAMINATION CONCLUDED AT 12:33 P.M.

13 \* \* \* \* \*

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