#### APPLICATION REQUIREMENTS INDEPENDENT CONTRACTORS

ALL INFORMATION MUST BE SUBMITTED AND APPROVED PRIOR TO ANY WORK COMMENCING ON MINE PROPERTY.

<u>Submit application for DMM-60C Certificate of Approval completed in its entirety to the Charleston Office to include the following:</u>

Miners' Health Safety & Training

# NOTE: ALL FORMS MUST HAVE ORIGINAL COMPANY OFFICIAL'S SIGNATURE Signatures must be an owner, partner, LLC member or corporate officer.

- a) DMM-60C Certificate of Approval permit application.
- b) Independent Contractor General Information Sheet (All pages MUST be completed and include the last four digits of owner/officers Social Security number(s) as well as their title).
- c) Initial Submittal forms for the Comprehensive Mine Safety Program AND a written Comprehensive Mine Safety Program, inclusive of the task specific sheet, must be submitted to the Safety Instructor (according to the company's mailing address), in the closest MHST Regional office. If your personnel are required to hold a specific certification from MHST, please include a copy of the drivers license AND any MHST certification card(s) with your permit application and CMSP.
- d) Annual one hundred dollar (\$100.00) non-refundable permit fee. NO PERSONAL CHECKS.

If the nature of your work changes from what was submitted on the original Certificate of Approval, you must submit these modifications to the permit in writing to the Charleston office. If not, the modifications will not be recognized by MHST. A new general information sheet, other additional forms, additions to the CMSP, miner certifications or training may also be required.

If you decide to close your company, you must notify our Charleston office in writing, stating the company name, WV permit number, and an effective date of the closure. This must be signed by a company official and may be faxed to (304) 558-6091. Before your permit can be closed, any outstanding or delinquent assessments must be paid in full. Please contact the Assessment Officer at (304) 436-8421 to determine what fines, if any, are outstanding.

If your company changes names, or the Federal Employers Identification Number (FEIN No.) changes from what we currently have on file for your permit, it is considered a NEW PERMIT, and the company MUST go through the permitting process again and file a new permit application.

#### WV Division of Labor

NOTE: <u>LABOR FORMS MUST HAVE ORIGINAL COMPANY</u>

<u>OFFICIAL'S SIGNATURE</u> Signatures must be an owner, partner, <u>LLC</u> member or corporate officer.

- a) DMM-1CC Division of Labor tracking sheet
- b) Affidavit (Must be signed and notarized) If the applicant company has been in business for less than one year, and has one or more employees, they may need to contact the Division of Labor about posting a Wage Bond. Companies operated by the owner / operator are exempted from this requirement but must still complete ALL paperwork.
- c) Division of Labor Exemption Request from the Contractors Licensing Act application. Applicant company must have one of the following:

  Exemption letter from the Division of Labor Contractors Licensing;
  MHS&T tracking sheet indicating non-applicability; or (3)
  Certificate of Contractors License from the Division of Labor prior to release of MHS&T Certificate of Approval. To inquire whether a license is required, the contractor may contact the Division of Labor at (304) 558-7890 and ask for the Contractors Licensing section. Applicants will need to be very specific in describing the nature of the work to be performed and equipment used.

#### **WV Insurance Commission (Workers' Compensation)**

• Current Workers' Compensation Certificate of Coverage. Effective July 1, 2008, the Workers' Compensation requirement has been expanded to allot for carriers that have made filings with the Rates and Forms Division of the Insurance Commissioner's offices to verify coverage of applicant companies. Out-of-state insurance carriers must either register with the Insurance Commissioner's office or MUST show the West Virginia endorsement underwritten on their current policy. If the intended contractor is conducting business in West Virginia for more than 30 days within a 365-day period, they MUST carry workers compensation coverage IN West Virginia. For additional information, contact the WV Insurance Commissioner's office at (304) 558-6279.

It is recommended that all paperwork submitted by the Independent Contractor should be copied and maintained for your own records. Exemptions issued through one State agency does not exempt the requirements of other State agencies with which you must be in compliance for the issuance of this permit.

Please contact the Charleston MHS&T office before traveling to Charleston to attempt to obtain your Certificate of Approval on the same day. Our staff will inform you of the necessary paperwork you will be required to have with you to complete this endeavor or inform you of the process you will need to complete while in Charleston.

The Independent Contractor Certificate of Approval is valid for the calendar year, and the Comprehensive Mine Safety Program is valid until notice otherwise. Permits must be renewed by January 31 of each following year. Permits are Non-Transferable.

PLEASE NOTE: Your permit application is NOT complete until you have two (2) documents returned to you from MHST. One will be the signed copy of the DMM-60C Certificate of Approval; the other, your approved Comprehensive Mine Safety Program letter. Contractors are NOT to be working on mining property until they have both documents. (SEE ATTACHED SAMPLES).

#### PERMITTING CONTACTS

WV One Stop Business Center	www.business4wv.com	
WV Secretary of State To register to do business in We	<u>www.sos.wv.gov</u> st Virginia	(304) 558-8000
WV Tax Division To obtain Business Registration	www.tax.wv.gov	(304) 558-3333
WV Insurance Commission Workers' Compensation	www.wvinsurance.gov	(304) 414-0539
WV Division of Labor	www.labor.wv.gov	
(Wage Bonding)		(304) 558-7890
(Contractors License)	CLBoard@wv.gov	(855) 539-0708
WV Public Service Commission CRT Overweight Truck Stickers	www.psc.state.wv.us	(304)340-0300
Workforce West Virginia	www.workforcewv.org	(304) 558-2451
MHST Charleston Office (C	ertificate of Approval)	
Contractors:	A through J	(304) 720-1131
	K through Z	(304) 720-1126

- **CSR 56-20-26. Independent Contractor Register.** 26.1 All independent contractors as defined shall register with the West Virginia Office of Miners' Health, Safety and Training within sixty (60) days of the effective date of the rules and receive a contractor identification number before performing services or construction work at quarries in this state. A one-time fee of fifty dollars (\$50.00) will be required to register.
- 26.2 In the event the quarry-only independent contractor ceases working on quarry mine property, they shall notify the Director in writing within sixty (60) days.
- 26.3 The quarry-only independent contractor permit is for work performed at quarries only and does not include working on coal mine property.
- 26.4 To register, all independent contractors shall provide the West Virginia Office of Miners' Health, Safety and Training the following information on forms provided by the West Virginia Office of Miners' Health, Safety and Training:
  - a. The independent contractor's trade name, business address, and business telephone;
  - b. A general description of the nature of the work to be performed by the independent contractor; and
  - c. The independent contractor's address of record for service of citations or other documents involving the independent contractor.
- 26.5 If any of the above information changes, the independent contractor shall advise the West Virginia Office of Miners' Health, Safety and Training of such change within thirty (30) days.
- 26.6 Upon receipt of the above information, the West Virginia Office of Miners' Health, Safety and Training shall issue a contractor identification number. Prompt issuance of the contractor identification number shall not be unreasonably withheld.
- 26.7 Prior to performing work at the quarry, each independent contractor shall provide the production operator the information contained in subsection 26.4, along with his West Virginia Office of Miners' Health, Safety and Training contractor identification number.
- 26.8 Each production operator shall maintain in writing at the quarry the information required by subsection 26.7 for each independent contractor at the quarry. The production operator shall provide the above information to an authorized representative of the Director upon the beginning of any inspection.
- **CSR 56-20-27 Service of Documents; Independent Contractors.** Service of notices, orders, and other documents upon independent contractors shall be completed upon delivery to the independent contractor at the work site and mailed to the independent contractor's address of record. A copy of all notices, orders, and other required documents shall be posted on a conspicuous bulletin board at the work site.
- CSR 56-20-28. Address of Record and Telephone Number; Independent Contractors. The address and telephone number required under this section shall be the independent contractor's official address and telephone number for purposes of Chapter 22A of the West Virginia Code and these rules. Service of documents upon the independent contractors may be proved by a certified mail return receipt showing that the documents were delivered to the address of record, or showing that the independent contractor is no longer at that address and has established no forwarding address because delivery was not accepted at that address, or that no such address exists. Independent contractors may request service by delivery to another appropriate address of record provided by the independent contractor.
- **CSR 56-20-29. Enforcement of Citations and Orders.** 29.1 These rules shall not be construed to limit the basic compliance responsibilities of production operators. Overall compliance responsibility of production operators shall include assuring compliance with the West Virginia Code provisions and rules which apply to the work being performed by independent contractors at the quarry.
- 29.2 It is the general enforcement policy of the West Virginia Office of Miners' Health, Safety and Training that the independent contractor will be held responsible for violations committed by the independent contractor or its employees where the production operator has complied with Section 26 of these rules.
- 29.3 Enforcement action against production operators for violations which involve independent contractors may be taken by the West Virginia Miners' Health, Safety and Training where the production operator has contributed to the existence of a violation, or the production operator's miners are exposed to the hazard, or the production operator has control over the existence of the hazard.
- 29.4 A production operator may be properly cited for a violation of the rules involving an independent contractor where:
  - The production operator has contributed by either an act or an omission to the occurrence of a violation in the course of an independent contractor's work; or
  - b. The production operator has contributed by either an act or omission to the continued existence of a violation committed by an independent contractor; or
  - The production operator's miners are exposed to the hazard; or
  - d. The production operator has control over the condition that needs abatement.
- 29.5 In addition to the provisions of Section 29.4 of these rules, the production operator may also be required to assure continued compliance with the West Virginia Code and rules applicable to an independent contractor at the quarry until the contractor is fully able to assume compliance responsibility.
- 29.6 Whenever a mine operator finds a violation or imminent danger in an area where an independent contractor is operating, such inspector shall make a determination whether to issue the appropriate Notice of Violation or order to either the production operator or the independent contractor, or both, based upon the criteria set out in sections 29.2 and 29.3 of these rules.
- 29.7 In instances where the work performed will last five (5) days or less at quarry operations, an independent contractor's identification number will not be required. No more than five (5) days' work in a calendar year will be allowed without obtaining a contractor identification number issued by the West Virginia Office of Miners' Health, Safety & Training.
- 29.8 Independent contractors working at quarries shall comply with Title 56, Series 8 of the West Virginia Administrative Rules.

DMM-60C Revised 04/2019

Region	
COID	

**State of West Virginia**Office of Miners' Health, Safety and Training 7 Players Club Dr.,

Charleston, West Virginia 25311-2126 www.minesafety.wv.gov

#### **CERTIFICATE OF APPROVAL**

for Independent Contractors on Mine Site

Company Name				
WV Permit Number				
Mailing Address				
	City		State	ZIP
Telephone Number (	•	E-mail (Ot		
				(minimum of one employee)
		ents set forth in WV Code s in the State of West Virgin		ove named contractor has the right to
Site preparation		Drainage	Con	tract Labor (Employees)
Electrical		Explosives	Mai	ntenance
Construction		Type of Constructi	on	
Reclamation		Trucking	Material tran	nsported
Other (Please be	specific)			
				gnized by MHST. Your permit may be
		ork duties not approved by M ed contractor is providing serv		ppy of this certificate of approval must be
Signature (must be an own	ner, partner, LLC meml	per or corporate officer)		Printed Name
DIRECTOR OR AUT Office of Miners' He	THORIZED REPR alth, Safety and Traini			Date of Approval
		ON-REFUNDABLE, NON- LENDAR YEAR (JAN. THRO		PERMIT FEE UST BE RENEWED EACH YEAR.
Payme	ent	FOR OFFICE USE		
Compr	rehensive Mine Safe	ty Program – Anniversary Da	e	
Divisio				
	-	ograms		

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  - The production operator has contributed by either an act or an omission to the occurrence of a violation in the course of an independent contractor's work; or
  - b. The production operator has contributed by either an act or omission to the continued existence of a violation committed by an independent contractor; or
  - The production operator's miners are exposed to the hazard; or
  - d. The production operator has control over the condition that needs abatement.
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- 29.8 Independent contractors working at quarries shall comply with Title 56, Series 8 of the West Virginia Administrative Rules.

#### STATE OF WEST VIRGINIA

## Office of Miners' Health, Safety and Training 7 Players Club Dr., Ste. 2

Charleston, West Virginia 25311-2126

Website: www.minesafety.wv.gov

#### INDEPENDENT CONTRACTOR GENERAL INFORMATION

		Zip	
		No	
	State	ZIP	
Drainag	ge	Contract Labor (E	mployees)
Explosi	ves	Maintenance	
Type of	Construction		
Truckin	ng N	Naterial transported	
		•	
_		Phone	
Title		Phone	
ner than the owner/op	erator, please list a	n emergency contact for t	hat individual:
_	_		
Relation	ship	Phone	
Title _		Phone	
City		State	ZIP
s will not be accepted o	or processed; they w	vill be returned as incomple	ete.
mber or corporate officer)		Date	
	Company Pl  Company Pl  Explosi Type of Trucking  Trucki	State	State

C-GI Form REVISED: 9/2023

## PERMIT APPLICATION OWNERS - OFFICERS

WV PERMIT NO:	

**REGION I** 

WV MHST

14 COMMERCE DRIVE, SUITE 1

WESTOVER, WV 26501

(304) 285-3268

In accordance with the Federal Privacy Act, 5 USC 552a, and 1974 addendum Public Law 93-579 7(b), please provide the names, titles and social security numbers of every officer, partner, resident agent, director, or person performing a function similar to a director, together with the names and titles of any person owning of record ten percent (10%) or more of any class of voting stock of the applicant (use attachments as necessary). **PLEASE NOTE:** We now ask for the last four (4) digits of social security numbers. This information is required for identification purposes for our permit issuance system. This information is **REQUIRED**.

Incomplete GI Forms will not be accepted or processed; they will be returned as incomplete.

AGEN	IT:									
Name	::				Last	four digits	s of SSN: xx	xx-xx-		
Addre	ess									
City:	City: State: Zip:									
Telephone No.: Email Address:										
OWNERS / OFFICERS Must Use Legal Name										
	First Name	MI	Last Name	La	ast four digits	of SSN	Tit	le	Start Date	End Date
1.				xxx	<b>Y-XX</b> -					
2.				xxx	<b>X-XX-</b>					
3.				xxx	<b>X-XX-</b>					
4.				xxx	<b>ζ-ΧΧ</b> -					
5.				xxx	<b>Y-XX</b> -					
6.				xxx	<b>Y-XX</b> -					
7.				xxx	<b>Y-XX-</b>					
8.				xxx	<b>X-XX-</b>					
9.				xxx	<b>X-XX-</b>					
10.				xxx	<b>ζ-XX</b> -					
(If additional owners/officers are to be listed, use additional sheet(s)).  Do Not Write Below This Line										
	rs' Health, Safety and	d Training (	use only:							
Comp	oany ID			File Upo	date				Incomplete _	
REGIO	NAL OFFICE ADDRESSES									

GI-Form REVISED 9/2023

REGION III

WV MHST

JULIAN, WV 25529

(304) 369-7823

431 RUNNING RIGHT WAY.

**REGION IV** 

WV MHST

337 INDUSTRIAL DRIVE

OAK HILL, WV 25901

(304) 469-8100

REGION II

WV MHST

830 VIRGINIA AVENUE

WELCH, WV 24801

(304) 436-8421

#### **CONTRACT LABOR INFORMATION**

Your company has indicated on the Independent Contractor General Information sheet that it will be conducting Contract Labor Services. Please complete the below listed information for our records, whether you <u>use</u> contract labor services, or whether you <u>provide</u> contract labor services.

Company Name	WV Peri	mit
DBA		
Mailing Address		
Street or PO Box Number	City	State ZIP
Telephone Number	FAX:	
Contact person / title		
Contact person e-mail		
WE DO NOT USE OR I	PROVIDE CONTRACT LABOR SERV	ICES
Contract Labor Services:		
Please list below the type of contract serv (BE SPECIFIC)	ices you or your employees will be con	ducting when on WV mining property:
If you <u>PROVIDE</u> contract labor services to another employees will be performing services: ( <b>Use rever</b>		The and mile site in west virgina where you
If you <u>USE</u> contract labor services from another of permit number, and contact person: (Use reverse		me <i>in West Virginia</i> , address, phone number
Company Official completing this form:		
Signature (must be an owner, partner, LLC member or con	rporate officer)	Date
Printed Name of Co. Signature		Phone Number

# State of West Virginia Office of Miners' Health, Safety & Training Division of Labor Approval – Independent Contractors

	**************************************			
W V Office of Miners'. 7 Players Club Drive, S	Health, Safety & Trainin	g	<del>-</del>	yees
Charleston, WV 2531		FFIN No		l employees on mining property)
(304) 558-1425	1-1020	MSHA ID	No.	
FAX (304) 558-6091		Telenhone		
Contractor ID No. / W	V Permit No. C	FAX		
Contractor ID 110.7 VV	<u> </u>			
Company Name				
Address				
Post Offi	ice	City	State	ZIP
TYPE OF SERVICES BEIN If performing: construc	NG PERFORMED tion work, detail type of cor	nstruction and type of equip	oment used; <u>truckin</u>	g - materials being hauled
	ed by leased / contracted lab			
Company Officers:	<u>Name</u>			<u>Title</u>
	**************************************	Division of Labor Respons	**************************************	
In accordance w to be:	vith the WV Code Sections	§21-5-14 and §22A-3-8, we	have reviewed our	files and find this compa
WAGE BOND:	( ) In Compliance	( ) Operat	ed 1+ vears	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	( ) <u></u> comp		ent Wage Bond	
		( ) No Em	ployees (to be contrac	ted)
		( ) Not Ap	plicable	
	( ) Not In Compliance			
	( ) Business Entity / Busines	ess Organization Status		
COMMENTS:				
Da	ate		Signature – Wage Bo	onding Division
CONTRACTORS LIC	CENSE:			
	( ) In Compliance	( ) 11117 6 4 4	I ! #	
	( ) In Compnance ( ) Not Applicable			
	( ) Not Applicable ( ) Not In Compliance	Classification _		
COMMENTS:	, , mot in compnance			
Da	ate		Signature - Contrac	tor Licensing Division

### West Virginia Division of Labor Wage Bond Status Affidavit

Wage & Hour Section 1900 Kanawha Boulevard East State Capitol Complex, Building 3, Room 200 Charleston, WV 25305



Phone: 304 558 7890 Fax: 304 558 3797 http://www.labor.wv.gov

	Curre	nt Business Mailir	ng Address		
Email Address			_		
9 Digit FEIN#		PHO	NE#		
Гуре of Business: Con	struction Mining T	ransportation of Min	nerals	Not Applicable *	(attach a scope of wo
Company doe Company has Company has Company has	only 1 box. (See back of ed in West Virginia is limes not have any employee been in business with embeen in business in anoth \$100,000.00 or more in a	ited to single famils working within the ployees in the state for a period vailable assets and	y dwellings ne state of V e of West V od of five ye I would like	and/or family farn West Virginia. Virginia for a period ears. * to claim that as an	ning enterprises.  I of one year. *  exemption. *
	subsidiary of a parent consistency of a parent consistency of a parent consistency of a parent consistency of a				-
Bond Required - Cor Division of Labor for a p 1. 4 weeks' payro 2. Enter 15% of the	npanies that do not qualiceriod of 1 year. To determine the work of the work of the work of the second of the seco	fy for one of the ab	ove exempted bond requires \$	ing parent company	y below: age bond with the
Bond Required - Cordivision of Labor for a progression of Labor for a progr	npanies that do not qualiceriod of 1 year. To determine the work of the work of the work of the second of the seco	fy for one of the abnine the amount of acity or production and amount.	ove exempted bond requires \$s	ing parent company cions must post a w red enter the follow  (Enter Title) crease my wage be	age bond with the wing Information.

#### Wage Bond Status Reporting

A company that's engaged in construction, mining, or the transportation of minerals within the state of West Virginia must register with the Division of Labor concerning its wage bond status. The completion of a "Wage Bond Status Affidavit" allows a company to register with the Division of Labor by claiming a wage bond exemption, or to declare the number of employees working in West Virginia and the amount of bond required.

#### Exemptions

Not all companies must post a wage bond. Any company that qualifies for one of the exemptions stated below may claim that exemption by submitting a completed "Status Affidavit". Please note that some exemptions require additional evidence to verify that the company qualifies for the exemption, while others do not. The exemptions listed on the front of the affidavit that may require additional evidence are marked with an asterisk \*.

Exemptions that DO NOT require additional evidence or verification to qualify include:

- Companies that have been in business in West Virginia, with employees, for a period of at least one (1) year and have reported employee wages to an unemployment account registered with WorkForce West Virginia within that same year.
- Companies that do not have employees physically working in West Virginia.
- Companies that are engaged solely in the construction of single family dwellings and/or family farming enterprises.
- Companies that are a subsidiary of a parent company that is registered with the Division as exempt.
- Out-of-state companies that have maintained one or more of the following licenses or registrations for a period of at least five years.
  - AWest Virginia Contractor License.
  - A Business Registration Certificate with the West Virginia State Tax Department.
  - A Corporate Registration with the West Virginia Secretary of State.

Exemptions that MAYrequire additional evidence or verification to qualify include:

- Companies that have been in business in another state for 5 years but do not hold any of the above stated registrations or licenses MUST attach evidence to verify business activity within another state.
- Companies that have been working in West Virginia, with employees, for a period of 1 year that do not have a WorkForce West Virginia unemployment account must submit additional evidence to verify employee activity in this state.
- Companies that choose to claim the exemption for \$100,000.00 in available assets MUST submit evidence to support the exemption.

#### Industry Specific Determinations/Exemptions

Companies that wish to obtain an opinion from the Division of Labor that the nature of their work does not fall within the definitions of construction, mining, or the transportation of minerals as defined in §21-5-1 may apply for an exemption by completing a Status Affidavit and checking the box titled as: "Not Applicable ". All such requests require a complete description or scope of work that's being performed.

#### Bond Required

Companies that do not qualify for a wage bond exemption and have employees working in West Virginia must post a wage bond with the Division of Labor in the amount of 4 weeks' payroll, plus an additional 15%, at maximum productivity. A completed Status Affidavit must accompany all new wage bonds submitted.

The Division of Labor processes your personal information for appropriate and customary business purposes. Your personal information may be disclosed to other State agencies or third parties in the normal course of business as needed to comply with State or Federal laws. If you have any questions about the Division of Labor's use of your personal information or would like a copy of the Division's complete privacy notice, please submit a written request to LaborAdministration@wv.gov.

## EXEMPTION REQUEST WEST VIRGINIA CONTRACTOR LICENSING ACT

Facsimile #: (304) 558-5174

CLEF 1.10.2022

Email Address: <u>CLBoard@wv.gov</u>

Please complete this form and submit via mail, fax or email:

West Virginia Contractor Licensing Board 1900 Kanawha Boulevard East State Capitol Complex - Building 3, Room 200 Charleston, WV 25305

Business Name:		
Mailing Address:		
City:	State:	Zip Code:
Telephone Number:	Fax Number:	
Email Address:		
WV Business Registration Tax Nun	ber: (Please include a copy)	
work site? Yes	uce a West Virginia Contractor Licens  No  by:	
(PLEASE BE SPECIFIC AND	ess:  ATTACH THE SCOPE OF WORK  n will NOT be issued without a detailed	THAT WILL BE PERFORMED)
Print or Type Name:		
Signature:	Date:	



officer)

## West Virginia Office of Miners' Health, Safety and Training

# INDEPENDENT CONTRACTOR COMPREHENSIVE MINE SAFETY PROGRAM – INITIAL SUBMITTAL FORM TASK SPECIFIC

WV Permit No. C-	MSHA ID No.:	FEIN No.:
Company Name:		
DBA:		
Mailing Address:		
(WV) County:	Telephone No.:	
Email Address:	NUMBER OF EMPLO	YEES WORKING IN WV:
PLEASE CHECK BELOW T	HE TYPE(S) OF CONTRACTING SE	RVICE(S) PROVIDED:
☐ Trucking (Hauling) ☐ Trucking (Other) ☐ Mine Site Preparation ☐ Explosive services (including blasting) ☐ Construction ☐ Equipment Maintenance ☐ Security Service ☐ Belt Maintenance	☐ Engineering services ☐ Safety Services ☐ Demolition Type of construction:	
PLEASE CHECK BELOW THE T  Coal Truck End loader Power shovel Roller  Other:	YPE(S) OF EQUIPMENT USED PERT  Other trucks Crane Grade All Other (Specify)	FAINING TO YOUR WORK:  Bulldozers Backhoe Mobile drill Scraper Pan
BRIEFLY EXPLAIN TH	E TYPE(S) OF WORK PERFORMED	AT THE JOB SITE
Printed Name and Title of Company Official com	pleting this form:	
Signature (must be an owner partner LLC mem	shar or corporate	Date



# West Virginia Office of Miners' Health, Safety and Training INDEPENDENT CONTRACTOR COMPREHENSIVE MINE SAFETY PROCESM

# INDEPENDENT CONTRACTOR COMPREHENSIVE MINE SAFETY PROGRAM INITIAL SUBMITTAL FORM

Company Name:	WV Permi	No.:	
DBA:			
Address:			
City:	State:	Zip	:
Telephone: ()	Email Address:		
Please give the name and address for a	safety contact person at the above company:		
Name:	Title:		
Address:			
City:	State:	Zip	::
Telephone: ()	Email Address:		
At what mining operation do you perfoattach a separate sheet of paper.	orm or intend to perform contracting services? If	additional	space is required, please
Company Name:	Contact:		
Mine Name:	WV Permit No.: Te	lephone: (	)
Date Program Submitted:			
PLEASE INCLUD	E A WRITTEN COPY OF YOUR PROGRAM V PART 1 – NOTIFICATION OF EMPLOYEES	VITH THIS	FORM
Which option did the contractor elect to u  Miners' Representative(s)  Meeting with Employees  Owner / Operator	use for employee review of the safety program: Plea (Please go directly to Subpart 1) (Please go directly to Subpart 2)	se check app	propriate option:
Subpart 1 – Miners' Representative(s)	(If applicable):		
Please provide names of miners' represen	atatives (at least three are required)		
Print Names:			
1			
2			
3			
4			
Are all employees provided with a copy of Date copy provided to employees:	of the safety program?	Yes 🗌	No 🗌
Has a description of the eight-hour miner	s' representative instruction program been attached	Yes 🗌	No 🗌
Did miners' representatives participate in	developing the program?	Yes 🗌	No 🗌



# West Virginia Office of Miners' Health, Safety and Training Subpart 2 – Meeting with Employees

Indicate	the date of which the meeting with employees will be held <b>PRIOR TO</b> the comm	nencement	of work:
	Meeting:		
When w	as notice of the meeting posted at the Contractors' site?		
Date No	tice of Meeting Posted:		
	as the Director of the Office of Miners' Health, Safety & Training notified of the or to the meeting)	e time and	place of the meeting? (at least 10
Date No	tified:		
**PI.F	ASE GO ON TO PART B if you completed Subpart 2**		
	PART B – POSTING AND MINE EVALU		
	WRITTEN COMMENTS ON THE PROC	<u>JKANI</u>	
	as the safety program posted at the Contractors' site?		
Date Po	sted:		
Was a C	ontractor safety evaluation conducted prior to submission of the safety program?	Yes 🗌	No 🗌
Has the	contractor received written comments regarding the safety program?	Yes 🗌	No 🗌
If YES,	have the written comments been included with this submission?	Yes 🗌	No 🗌
**DI E	ASE GO ON TO PART**		
·· · · · · · · · ·	ASE GO ON TO PART		
	PART C – SAFETY PROGRAM COMPONENTS AND PL	ROGRAI	M EVALUATION
Based u	pon the safety needs of the contractor's particular site, the written comprehensents:	•	program includes the following propriate response)
1.	The contractors' safety policy for each site?	Yes 🗌	No 🗌
2.	The contractors' policies regarding personal safety protection	105	110
	of each worker (hard hats, shoes, glasses, etc.)?	Yes 🗌	No 🗌
3.	Classroom training programs and objectives?	Yes 🗌	No 🗌
4.	Workplace training programs and objectives?	Yes 🗌	No 🗌
5.	Training programs and objectives for safety meetings?	Yes 🗌	No 🗌
6.	Informal training programs and objectives?	Yes 🗌	No 🗌
7.	The contractors' practices and procedures for promoting safe work practices for personnel?	Yes 🗌	No 🗌
8.	The contractors' practices and procedures for promoting safe work conditions at the contractor's site?	Yes 🗌	No 🗌
9.	The contractors' practices and procedures for promoting safe work practices for machinery, equipment, and systems?	Yes 🗌	No 🗌
10.	The contractors' emergency provisions and procedures at the site?	Yes 🗌	No 🗌
11.	The contractors' procedures for accident investigation?	Yes 🗌	No 🗌
12.	The contractor's procedures for filing accident reports?	Yes 🗌	No 🗌
13.	The contractors' procedures for analysis of accidents?	Yes 🗌	No 🗌
14.	The contractors' procedures for accident investigation follow-up?	Yes 🗌	No 🗌
15.	The contractors' practices and procedures for comprehensive safety program promotion and enforcement?	Yes	No 🗌



## West Virginia Office of Miners' Health, Safety and Training

Other components deemed necessary by the contractor to effectuate the goals of Chapter 22A, Article 1, Section 36 of the W.Va. Code:		
ANNUAL EVALUATION CRITERIA		
Please check the box next to the items you plan to use in the annual evaluations of the effectiveness of your program:		
Required Information		
Accident frequencies or rates		
☐ Accident distributions ☐ Violations written under W.Va. Code Chapter 22A, Article 1, Section 15a		
Fatal Accidents and serious injuries as defined by Title 36, Series, 19, Section 3.2		
Optional Sources of Information		
☐ Site conditions or changes in haulage environment		
Number of working crews at the site and number of trucks hauling		
Personnel or management at the site  Instructors responsible for safety training		
Findings from safety observations conducted by mine officials		
State and Federal Inspectors		
Other Optional Items:		



# **West Virginia Office of Miners' Health, Safety and Training**PART D – SAFETY INSTRUCTORS

Please list below all instructors who, at this date, have primary responsibility for planning and / or conducting safety training for the contractor. Please list all qualifications / certifications relevant to the safety training responsibilities involved.

Company Employees:			
Instructor's Name:	Qualifications	/ Certifications	
1			
2			
	·-		
3	·-		
4			
Drivete Access of Bubble Occasionation Decreased			
Private Agency or Public Organization Personnel:	0 1:5	/ C .: C: .:	
Instructor's Name: 1	Qualifications	/ Certifications	
	·		
2			
2			
	·		
3	-		_
	-		
4			
**PLEASE GO ON TO PART E**			
PART E – PROGRA	M CHECK LIST		
Does your safety program include the following:			
The methods or procedures used to accommodate employee review	v of the program?	Yes 🗌	No 🗌
2. The methods or procedures used to develop an initial safety progra		Yes [	No 🗌
<ol> <li>Methods or procedures used to carry out each component which th identified in his safety program?</li> </ol>		Yes	No [
	f the contractors'	168	140
4. Methods or procedures used in the annual review and evaluation of safety program?	i the contractors	Yes 🗌	No 🗌



#### West Virginia Office of Miners' Health, Safety and Training

You will be notified of program approval within 30 days after the safety program submittal deadline. Should your submission not be approved, you will be notified of the specific reasons for rejection of the program and provided a reasonable length of time to modify and resubmit your program to the Office of Miners' Health, Safety & Training.

Contractor:	
Signature (must be an owner, partner, LLC member or corporate officer)	
Printed Name	
Title	
Telephone Number	
Date	

This initial submittal form (along with any additional attachments) must be submitted with the company's comprehensive safety program to the attention of the Safety Instructor located at your nearest WV Office of MHST Regional Office.

REGION 1 WV OFFICE OF MHST 14 COMMERCE DRIVE – SUITE 1 WESTOVER, WV 25601 304-285-3268 304-285-3275 (fax) REGION 2 WV OFFICE OF MHST 891 STEWART STREET WELCH, WV 24801 304-436-8421 304-436-2100 (fax)

REGION 4 WV OFFICE OF MHST 337 INDUSTRIAL DRIVE OAK HILL, WV 25901 304-469-8100 304-469-4059 (fax) The following is a sample of a generic Comprehensive Mine Safety Program that may be filed with the Initial Submittal Form for the Comprehensive Mine Safety Program, and submitted to the MHS&T Regional Office.

The intent of this form is to simplify the submission process, and provide guidance to companies that may not already have a company safety program in effect for the completion of their permit application(s). This form should suffice for most non-production surface contractors or trucking companies. **You may be required to modify this program** based on the specific needs of your business.

If your company performs specialized work not covered under this program, or if your work requires you to perform your services in underground mines, please consult with your Regional Safety Instructor prior to submission of this program to determine if any additional components, amendments, training and / or certifications will be required prior to beginning any work on mining property.

# COMPREHENSIVE MINE SAFETY PROGRAM (SURFACE WORK)

#### **COMPONENT 1: SAFETY COMMITMENT**

The management of the company wishes to submit to the West Virginia Office of Miners' Health, Safety and Training the following Comprehensive Mine Safety Program for approval of implementation. A thorough evaluation and assessment of the current conditions and practices of the company has enabled management to establish goals for safety, select safety programs, and determine methods that will provide an effective means of safe work practices and procedures.

The company is a/an	* company exclusively and
therefore has neither underground, nor mineral extraction 1	personnel. All aspects of job-related
safety as to that related work have been omitted from this pr	rogram. This company only performs
* from either S	Surface or Deep mine properties, as
well as Preparation Plant and Quarry sites.	

#### \*Nature of work being performed

#### PROGRAM GOALS

Based upon most recent information, the following are goals established for our Comprehensive Mine Safety Program:

#### **GENERAL GOALS**

- 1. To achieve a low incidence of accidents and injuries
- 2. To increase work skills and safety knowledge of all employees
- 3. To operate in compliance with all applicable State and Federal laws

#### **SPECIFIC GOALS**

- 1. To implement a safety training program for all personnel
- 2. To involve all personnel in weekly safety meetings
- 3. To alert all employees to seasonal safety hazards through heightened awareness and driver training
- 4. To instill in each employee an attitude of cooperation in development and utilization of safe work procedures, practices and conditions

#### SAFETY RULES AND PROCEDURES

The operator contends that safety of all employees is first and foremost. The company is committed to and will comply with all applicable State and Federal laws, rules, and regulations; to correct deficiencies immediately, provide proper training and supervision, and to provide safe working conditions for all employees.

It shall be the responsibility of each employee to report for work physically and mentally alert, perform their assigned task in a safe manner, abide by all laws and rules, as well as safety procedures while at the job site. Failure to do so could, and in most instances, would lead to dismissal.

#### COMPANY SAFETY RULES AND PROCEDURES

- 1. Proper clothing, hard-hats, steel-toed shoes, and protective eye wear shall be worn by all employees on the job site.
- 2. It is the responsibility of each employee to care for, and maintain any safety equipment issued to the employee. Inoperative or damaged equipment will be replaced or repaired upon detection of defect.
- 3. It is the responsibility of each employee to report unsafe conditions or mechanical defects upon their discovery.
- 4. It is management's responsibility to correct any reported unsafe conditions or defects.
- 5. Each employee must report any accident or injury to management.
- 6. Employees will not operate any equipment when weather conditions are severe.
- 7. Reporting to work under the influence of alcohol or drugs, or bringing such onto company property shall be grounds for immediate dismissal.
- 8. Appropriate eye wear must be worn as law requires when performing work such as, but not limited to, welding, cutting, pounding, etc.
- 9. Employees shall not run engines in closed areas, nor depend upon vehicle heater for warmth without a low oxygen or carbon monoxide detector in the vehicle.
- 10. When working under vehicles, all appropriate measure must be made as to the security of the truck and safety of the mechanic.
- 11. No employee will operate any mobile equipment that has not been pre-shifted.
- 12. Each employee shall attend all training classes and are required to comply with all State and Federal regulations.
- 13. All signs and regulations as to the Company in which we are working for shall be followed by all of our employees while on that property.
- 14. "Short-cuts" are prohibited! Take your time; Do it safely and right the first time.
- 15. Tires shall be changed utilizing rim cages and other appropriate safety equipment.

#### **COMPONENT 2: PERSONAL PROTECTIVE EQUIPMENT**

- 1. Safety-toed shoes are required at all times by all persons on the job site.
- 2. Safety glasses, provided by the company, are required by all workers to be worn as law requires.
- 3. Hard hats are required by all persons when on the job site.
- 4. Protective gloves, provided by the company, are required when appropriate.
- 5. Respirators, provided by the company, are required when the work site atmosphere dictates such usage.
- 6. Ear plugs, provided by the company, are required when noise levels exceed standard compliance levels.
- 7. Shields or goggles, leather gloves and an apron are required of persons performing welding or cutting activities. These shall be provided by the company.

All employees are instructed in the proper use and care of the protective equipment they are issued. Protective gear provided by the company shall be maintained or replaced at the

company's expense. Each employee that is issued protective equipment must care for, and utilize the equipment in a safe and productive manner, and will be prohibited from work without it.

#### **COMPONENT 3: SAFETY TRAINING**

#### **CLASSROOM TRAINING**

The classroom training conducted for the company is done according to an approved MSHA Part 48 Training Plan. An evaluation of the Company's CMSP is also conducted at this time, which allows for input from the employees themselves as to the effectiveness of the program. Classroom training shall be conducted by an approved MSHA instructor, who is knowledgeable in the type of work being performed by the company.

#### WORKPLACE TRAINING

Workers are trained by experienced personnel for a minimum of 8 hours, or until management is convinced that the new employee can perform safely and effectively. Safety observations are conducted on each employee on a regular basis.

#### **SAFETY MEETINGS**

Safety meetings are conducted on a weekly basis with the employees. Aspects of everyday hazards and conditions are covered. In addition to these meetings, management also regularly conducts individual meetings with employees when safety observations have demonstrated the need for corrective action.

#### SPECIALIZED TRAINING

Specialized training will be given to employees when conditions or the introduction of new equipment takes place. Seasonal climatic changes require specialized training to be conducted as to the specialized hazards they present.

#### COMPONENT 4: WORKPLACE TRAINING

#### SAFE WORK PRACTICES AND CONDITIONS

Part of on-the-job training of our employees consists of daily observation of the employees and their collective work habits. New employees or new equipment shall require proper hazard and task training. Any worker who is observed practicing unsafe work habits is immediately given corrective instruction to rectify the situation. Continual bad work practices can, and will lead to dismissal.

#### MACHINES, SYSTEMS AND ENVIRONMENT

Maintenance of equipment and mechanical systems is performed / scheduled daily. Each piece of equipment is pre-shifted daily before being put into service. During work shifts, employees are instructed to report any defects or malfunctioning equipment immediately to management. In the event corrective action cannot begin immediately, the machine is removed from service until such time as it has been corrected. When changing conditions warrant, additional safety measures may be required. If the employee is unable to correct the situation, they are to notify management so that proper corrective measures can be taken.

#### COMPONENT 5: EMERGENCY PROVISIONS AND PROCEDURES

Training for emergency situations is conducted during the 8 hour Annual Refresher Training as required by MSHA. This training includes First Aid and emergency transportation of injured persons.

#### EMERGENCY EQUIPMENT AND SUPPLIES

- 1. First aid kits are placed and maintained by law.
- 2. Fire extinguishers are located and maintained by law.
- 3. Emergency ambulance service and medical facilities must be made available to all personnel according to State and Federal law. These arrangements shall be made in advance to work activities.
- 4. Emergency contact information for owner/operators other than the owner/operator shall be provided to the Office of Miners' Health, Safety and Training.

#### COMPONENT 6: ACCIDENT INVESTIGATION

- 1. All accidents shall be reported as required by law.
- 2. Management is responsible for immediate investigation of any reported accident.
- 3. When conditions involved in an accident or near miss require immediate attention, appropriate action is taken to rectify the situation according to the dictates of State and Federal law.
- 4. Company accident forms or other suitable forms are filed for each accident, and analyzed at safety meetings. Further actions, if necessary, are then implemented.
- 5. Prevention and follow-up as warranted.

#### COMPONENT 7: PROGRAM PROMOTION AND ENFORCEMENT

Planned and continuing efforts to promote safety at this operation include but are not limited to the following:

- 1. Extended involvement of our employees in our safety program by including and obtaining their input with awards for constructive suggestions and ideas.
- 2. A safety promotion incentive program for workers with no lost time accident records.

The established safety rules and policies of our company and for those whom we perform services will be enforced. It is management's responsibility to see that all employees perform their work in a safe and professional manner, while providing the worker with a safe environment in which to do such. Workers who fail to perform work in a safe professional manner may be subject to disciplinary action as per company policy.

#### **COMPONENT 8: MSDS AND TRAINING**

Management shall establish and maintain a "Right-to-Know" Center containing the MSDS sheets for all chemicals that the employees must either use or be exposed to during the normal performance of duties. Training will be given to all personnel who might come into contact with hazardous materials utilized in steam cleaners, degreasers, etc.

(Title)

#### COMPONENT 9: TRUCK MAINTENANCE PROGRAM

#### PRE-SHIFT CHECKLIST

A pre-shift check of the truck shall be conducted for each vehicle to be utilized that shift **BEFORE** that truck is placed into service. The example provided below is a facsimile of the type of document that will be used by the operator prior to operations.

	Excessive Oil or Hydraulic leak:	Defective	Proper
	Back-up Alarm:	Defective	Proper
	Brakes – Foot / Parking:	Defective	Proper
	Fire Extinguisher:	Defective	Proper
	Lights:	Defective	Proper
	Horns:	Defective	Proper
	Windshield Wipers:	Defective	Proper
	Glass (Windshield / Doors):	Defective	Proper
	Grab Rails:	Defective	Proper
	Steps:	Defective	Proper
	Safety Guards:	Defective	Proper
	Mirrors:	Defective	Proper
	Seat Belt:	Defective	Proper
	Tires:	Defective	Proper
	Steering Controls:	Defective	Proper
	Coolant Levels:	Defective	Proper
	Other:	Defective	Proper
Remarks (Visual inspection of truck for any other mechanical or safety defects):			
Signat	ure of Operator		
Signature of Immediate Supervisor			
Date			

#### WEEKLY SCHEDULED MAINTENANCE

- 1. Inspection and if needed, service to gear boxes
- 2. Inspection and if needed, service to steering components
- 3. Inspection and if needed, service to any oil leaks
- 4. Inspection and if needed, service to back-up alarm
- 5. Inspection and if needed, service to horn systems
- 6. Inspection and if needed, service to braking systems
- 7. Inspection and if needed, service / replacement of tires
- 8. Inspection and if needed, service to coolant systems
- 9. Inspection and if needed, service to ALL wheel hubs
- 10. Inspection and if needed, service to suspension system
- 11. Inspection and if needed, service to overall appearance of truck

All other types of maintenance work shall be as per manufacturer's recommendations. Records of daily, weekly, and yearly maintenance and parts records shall be kept on each truck by the operator, and these records are to be made available to the Director or his authorized agent.

#### SAFETY INSPECTION REPORT FORM

Date:	Time:	
Site:		
Report submitted to: (Name)		
(Title)		
	CONDITIONS AND REMARKS	

## $(\underline{Attach\ additional\ paperwork\ if\ necessary})$

Truck Serial Number(s	s) Motor Vehicle Inspection st	a sticker date(s) (if applicable)	
If you have any mo	difications to existing equipment, t	he Comprehensive Mine	
Safety Program MUST be updated to include such modifications.			
Name of Driver(s)	Drivers' License number(s) (if applicable)	MHST Certification Number(s)	

#### **COMPONENT 10: CONTRACT LABOR**

If you USE or PROVIDE contract labor services, you MUST file additional components for the Comprehensive Mine Safety Program for EITHER SURFACE OR UNDERGROUND

\*\*\*\*\*The nature of your work may require a combination CMSP\*\*\*\*\*

#### NOTE - ADDITIONAL COMPONENT(S) MAY BE REQUIRED

(For additional information pertaining to training and certifications, contact your Regional Safety Instructor)

Nature of work being performed while on mining property:			
Number of Employees (only report those going on mining property):			
Employee name	Certification number		

AS YOU HIRE ADDITIONAL EMPLOYEES, YOU WILL BE REQUIRED TO SUBMIT THE ABOVE INFORMATION BI-ANNUALLY.